# BEFORE THE CALIFORNIA ACUPUNCTURE BOARD DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

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In the Matter of the First Amended Accusation Against: HENRY DAVID LEE, L.AC. 8878 Warner Avenue Fountain Valley, CA 92708 Acupuncture License No. AC 8254 Respondent. Case No. 1A-2007-31

OAH No. L-2008090487

# **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the

California Acupuncture Board, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective on MAY 2 8 2009

It is so ORDERED APR 2 8 2009

Robert Brewer, Chair Acupuncture Board Department of Consumer Affairs State of California

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1 2	EDMUND G. BROWN JR., Attorney General of the State of California THOMAS S. LAZAR	
3	Supervising Deputy Attorney General MICHAEL S. COCHRANE, State Bar No. 185730	
4	Deputy Attorney General 110 West "A" Street, Suite 1100	
5	San Diego, CA 92101	
6	P.O. Box 85266 San Diego, CA 92186-5266	
7	Telephone: (619) 645-2061 Facsimile: (619) 645-2061	
8	Attorneys for Complainant	
9	BEFORE	
10	ACUPUNCTUR DEPARTMENT OF CON	SUMER AFFAIRS
11	STATE OF CAL	IFORNIA
12	In the Matter of the First Amended Accusation	Case No. 1A-2007-31
13	Against:	OAH No. L2008090487
14	HENRY DAVID LEE, L.Ac. 8878 Warner Avenue Fountain Valley, CA 92708	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER
15	Acupuncturist License No. AC-8254,	
16	Respondent.	
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18	IT IS HEREBY STIPULATED AND	AGREED by and between the parties to the
19	above-entitled proceedings that the following matter	s are true:
20	PARTIE	<u>S</u>
21	1. Janelle Wedge (Complainant)	is the Executive Officer of the Acupuncture
22	Board. She brought this action solely in her official	capacity and is represented in this matter by
23	Edmund G. Brown, Jr., Attorney General of the Stat	e of California, by Michael S. Cochrane,
24	Deputy Attorney General.	
25	2. Respondent Henry David Lee	, L.Ac. (Respondent) is represented in this
26	proceeding by attorney Edward O. Lear, Esq., whose	e address is 5200 W. Century Boulevard,
27	Suite 940, Los Angeles, CA 90045.	
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3. On or about March 20, 2002, the Acupuncture Board (Board) issued
 Acupuncturist License No. AC-8254 to Respondent. The Acupuncturist License was in full
 force and effect at all times relevant to the charges brought in First Amended Accusation No.
 1A-2007-31 and will expire on May 31, 2009, unless renewed.

## **JURISDICTION**

6 4. On July 16, 2008, Accusation No. 1A-2007-31 was filed before the Board,
7 and is currently pending against Respondent. A true and correct copy of Accusation No. 1A2007-31 and all other statutorily required documents were properly served on Respondent on
9 July 16, 2008. Respondent timely filed his Notice of Defense contesting the Accusation.
10 5. On January 6, 2008, First Amended Accusation No. 1A-2007-31 was

11 filed before the Board and is currently pending against Respondent. On January 6, 2008, 12 Respondent was properly served with a true and correct copy of First Amended Accusation 1A-13 2007-31 together with true and correct copies of all other statutorily required documents, at his 14 address of record then on file with the Board: 8878 Warner Avenue, Fountain Valley, CA 92708. 15 On January 8, 2009, respondent's attorney of record, Edward O. Lear, Esq., was also sent a true 16 and correct copy of the First Amended Accusation and related documents at his address: 5200 17 W. Century Boulevard, Suite 940, Los Angeles, CA 90045. A true and correct copy of First 18 Amended Accusation No. 1A-2007-31 is attached as Exhibit A and incorporated by reference as 19 if fully set forth herein.

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#### ADVISEMENT AND WAIVERS

6. Respondent has carefully read, fully discussed with counsel, and
 understands the charges and allegations in First Amended Accusation No. 1A-2007-31.
 Respondent has also carefully read, fully discussed with counsel, and understands the effects of
 this Stipulated Settlement and Disciplinary Order.

7. Respondent is fully aware of his legal rights in this matter, including the
right to a hearing on the charges and allegations in First Amended Accusation No. 1A-2007-31;
the right to confront and cross-examine the witnesses against him; the right to present evidence
and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance

of witnesses and the production of documents; the right to reconsideration and court review of an
 adverse decision; and all other rights accorded by the California Administrative Procedure Act
 and other applicable laws.

4 8 Respondent voluntarily, knowingly, and intelligently waives and gives up
5 each and every right set forth above.

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## **CULPABILITY**

9. Respondent admits the truth of each and every charge and allegation in
Accusation No. 1A-2007-31. The Admissions made by respondent herein are only for the
purpose of this proceeding, or any proceedings in which the Board or any other professional
licensing agency is involved, and shall not be admissible in any other criminal or civil
proceeding.

12 10. Respondent agrees that his Acupuncturist License No. AC-8254 is subject
13 to discipline and he agrees to be bound by the Board's imposition of discipline as set forth in the
14 Disciplinary Order below.

## **CONTINGENCY**

16 11. The parties agree that this Stipulated Settlement and Disciplinary Order
17 shall be submitted to the Board for its consideration in the above-entitled matter and, further, that
18 the Board shall have a reasonable period of time in which to consider and act on this Stipulated
19 Settlement and Disciplinary Order after receiving it.

20 12. The parties agree that this Stipulated Settlement and Disciplinary Order 21 shall be null and void and not binding upon the parties unless approved and adopted by the 22 Board, except for this paragraph, which shall remain in full force and effect. Respondent fully 23 understands and agrees that in deciding whether or not to approve and adopt this Stipulated 24 Settlement and Disciplinary Order, the Board may receive oral and written communications from 25 its staff and/or the Attorney General's office. Communications pursuant to this paragraph shall 26 not disqualify the Board, any member thereof, and/or any other person from future participation 27 in this or any other matter affecting or involving respondent. In the event that the Board, in its 28 discretion, does not approve and adopt this Stipulated Settlement and Disciplinary Order, with

the exception of this paragraph, it shall not become effective, shall be of no evidentiary value
whatsoever, and shall not be relied upon or introduced in any disciplinary action by either party
hereto. Respondent further agrees that should the Board reject this Stipulated Settlement and
Disciplinary Order for any reason, respondent will assert no claim that the Board, or any member
thereof, was prejudiced by its/his/her review, discussion and/or consideration of this Stipulated
Settlement and Disciplinary Order or of any matter or matters related hereto.

# ADDITIONAL PROVISIONS

8 13. This Stipulated Settlement and Disciplinary Order is intended by the
9 parties herein to be an integrated writing representing the complete, final and exclusive
10 embodiment of the agreements of the parties in the above-entitled matter.

11 14. The parties agree that facsimile copies of this Stipulated Settlement and
12 Disciplinary Order, including facsimile signatures of the parties, may be used in lieu of original
13 documents and signatures and, further, that facsimile copies shall have the same force and effect
14 as originals.

15 15. In consideration of the foregoing admissions and stipulations, the parties
agree that the Board may, without further notice to or opportunity to be heard by respondent,
issue and enter the following Order:

## **DISCIPLINARY ORDER**

19 IT IS HEREBY ORDERED that Acupuncturist License No. AC-8254 issued to
20 Respondent Henry David Lee, L.Ac. (Respondent) is revoked. However, the revocation is
21 stayed and Respondent is placed on probation for three (3) years from the effective date of this
22 Decision on the following terms and conditions.

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## 1. <u>REIMBURSEMENT FOR PROBATION SURVEILLANCE</u>

## **MONITORING**

Respondent shall reimburse the Board for probation monitoring costs it incurs in
 monitoring the probation to ensure compliance for the duration of the probation period, not to
 exceed \$500 per year.

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# PROFESSIONAL BOUNDARIES PROGRAM

Within sixty (60) calendar days of the effective date of this Decision, respondent 2 3 shall enroll in a professional boundaries program, at respondent's expense, equivalent to the 4 Professional Boundaries Program, Physician Assessment and Clinical Evaluation (PACE) 5 Program at the University of California, San Diego School of Medicine ("Program"). 6 Respondent, at the Program's discretion, shall undergo and complete the Program's assessment of respondent's competency, mental health and/or neuropsychological performance, at a 7 8 minimum, a 24 hour program of interactive education and training in the area of boundaries, 9 which takes into account data obtained from the assessment and the Decision, First Amended 10 Accusation, and any other information that the Board or its designee deems relevant. The 11 Program shall evaluate respondent at the end of the training and the Program shall provide any 12 data from the assessment and training as well as the results of the evaluation to the Board or its 13 designee.

14 Failure to compete the entire Program not later than six months after respondent's 15 initial enrollment shall constitute a violation of probation unless the Board or its designee agrees 16 in writing to a later time for completion. Based on respondent's performance in and evaluations 17 from the assessment, education, and training, the Program shall advise the Board or its designee 18 of its recommendation(s) for additional education, training, psychotherapy and other measures 19 necessary to ensure that respondent can practice medicine safely. Respondent shall comply with 20 Program recommendations. At the completion of the Program, respondent shall submit to a final 21 evaluation. The Program shall provide the results of the evaluation to the Board or its designee.

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# 3. MEDICAL RECORD KEEPING COURSE

Within sixty (60) calendar days of the effective date of this Decision, respondent shall enroll in a course in medical record keeping, at respondent's expense, approved in advance by the Board or its designee. Failure to successfully complete the course during the first six months of probation is a violation of probation.

27 Respondent shall submit a certification of successful completion to the Board or
28 its designee not later than fifteen (15) calendar days after successfully completing the course, or

not later than fifteen (15) calendar days after the effective date of the Decision, whichever is
 later.

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# COMMUNITY SERVICE

Respondent shall submit a plan to complete community service within sixty (60)
days of the effective date of this Decision, respondent shall submit to the Board for its prior
approval a community service program in which respondent shall provide services to a
community or charitable facility or agency for at least twelve (12) hours per quarter for the first
year of probation (totaling at least 48 hours).

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# 5 <u>OBEY ALL LAWS</u>

10 Respondent shall obey all federal, state and local laws and all regulations
11 governing the practice of acupuncture in California. A full and detailed account of any and all
12 violations of law shall be reported by the respondent to the Board in writing within seventy-two
13 (72) hours of occurrence.

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# **QUARTERLY REPORTS**

Respondent shall submit quarterly declarations under penalty of perjury on forms
provided by the Board, stating whether there has been compliance with all the conditions of
probation.

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## SURVEILLANCE PROGRAM

Respondent shall comply with the Board's probation surveillance program and
shall, upon reasonable notice, report to the assigned investigative district office. Respondent
shall contact the assigned probation surveillance monitor regarding any questions specific to the
probation order. Respondent shall not have any unsolicited or unapproved contact with 1)
victims or complainants associated with the case; 2) Board members or members of its staff; or
persons serving the Board as expert examiners.

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## 8. INTERVIEW WITH THE BOARD OR ITS DESIGNEE

Respondent shall appear in person for interviews with the Board or its designee
upon request at various intervals and with reasonable notice.

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1 9. CHANGES OF EMPLOYMENT Respondent shall notify the Board in writing, through the assigned probation 2 surveillance compliance officer of any and all changes of employment, location and address 3 within thirty (30) days of such change. 4 5 10. TOLLING FOR OUT-OF-STATE PRACTICE OR RESIDENCE 6 In the event respondent should leave California to reside or to practice outside the State, respondent must notify the Board in writing of the dates of departure and return. Periods 7 8 of residency or practice outside California will not apply to the reduction of this probationary 9 period. 12. 10 EMPLOYMENT AND SUPERVISION OF TRAINEES 11 Respondent shall not employ or supervise or apply to employ or supervise 12 acupuncture trainees during the course of this probation. Respondent shall terminate any such 13 supervisorial relationship in existence on the effective date of this Decision... 14 13. **COST RECOVERY** 15 Respondent shall pay to the Board its costs of investigation and enforcement in 16 the amount of \$3,000.00. 17 14. **VIOLATION OF PROBATION** 18 If respondent violates probation in any respect, the Board may, after giving 19 respondent notice and the opportunity to be heard, revoke probation and carry out the 20 disciplinary order that was stated. If an accusation or petition to revoke probation is filed against 21 respondent during probation, the Board shall have continuing jurisdiction until the matter is 22 final, and the period of probation shall be extended until the matter is final. No petition for 23 modification or termination of probation shall be considered while there is an accusation or 24 petition to revoke probation pending against respondent. 25 15. **COMPLETION OF PROBATION** 26 Upon successful completion of probation, respondent's license will be fully 27 restored. 28 /// 7

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1	ACCEPTANCE
2	I have carefully read the above Stipulated Settlement and Disciplinary Order and
3	have fully discussed it with my attorney, Edward O. Lear, Esq. I understand the stipulation and
4	the effect it will have on my Acupuncturist License No. AC-8254. I enter into this Stipulated
5	Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be
6	bound by the Decision and Order of the Board.
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8	DATED: 01-08-09
9	
10	Henry David Lee, L.AC.
11	Respondent
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13	I have read and fully discussed with Respondent HENRY DAVID LEE, L.Ac.,
14	the terms and conditions and other matters contained in the above Stipulated Settlement and
15	Disciplinary Order. I approve its form and content.
16	118/119
17	DATED:
18	1 1 111
19	EDWARD Ø. LEAR, ESO.
20	Attorney for Respondent
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1	ENDORSEMENT
2	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully
3	submitted for consideration by the Acupuncture Board.
4	ortal
5	DATED: 01/08/09
. 6	EDMUND G. BROWN JR., Attorney General of the State of California
7	THOMAS S. LAZAR
8	Supervising Deputy Attorney General
9	$M \wedge \Lambda \subset \Lambda$
10 11	1 Charles Cochrana
11	MICHAEL S. COCHRANE Deputy Attorney General
12	Attorneys for Complainant
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# Exhibit A

Accusation No. 1A-2007-31

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1 2	EDMUND G. BROWN JR., Attorney General of the State of California THOMAS S. LAZAR	FILED
3	Supervising Deputy Attorney General MICHAEL S. COCHRANE, State Bar No. 185730	
4	Deputy Attorney General 110 West "A" Street, Suite 1100	JAN 6 <b>200</b> 9
5	San Diego, CA 92101	ACUPUNCTURE BOARD
6	P.O. Box 85266 San Diego, CA 92186-5266	AOUI UNUTURE BUARD
7	Telephone: (619) 645-2074 Facsimile: (619) 645-2061	
8	Attorneys for Complainant	
9	BEFORE	
10	ACUPUNCTUR DEPARTMENT OF CON	SUMER AFFAIRS
11	STATE OF CAL	IFORNIA
12	In the Matter of the First Amended Accusation	Case No. 1A-2007-31
13	Against:	OAH No. L2008090487
14	HENRY DAVID LEE, L.Ac. 8878 Warner Avenue	FIRST AMENDED ACCUSATION
15	Fountain Valley, CA 92708 Acupuncturist License No. AC-8254	
16		
17	Respondent.	
18	Compleinent alleges	
19	Complainant alleges:	
20	PARTIE	
21		brings this First Amended Accusation solely
22	in her official capacity as the Executive Officer of the	
23		the Acupuncture Board (Board) issued
24	Acupuncturist License Number AC-8254 to Henry I	
25	Acupuncturist License was in full force and effect at all times relevant to the charges brought	
26	herein and will expire on May 31, 2009, unless renewed.	
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1	JURISDICTION
2	3. This First Amended Accusation, which supercedes the Accusation filed on
3	July 16, 2008, in the above-entitled matter, is brought before the Board, under the authority of
4	the following laws. All section references are to the Business and Professions Code (Code)
5	unless otherwise indicated.
6	4. Section 4955 of the Code states:
7	"The board may deny, suspend, or revoke, or impose probationary
8	conditions upon, the license of any acupuncturist if he or she is guilty of
9	unprofessional conduct.
10	"Unprofessional conduct shall include, but not be limited to, the
11	following:
12	"…
13	"(d) Aiding or abetting in, or violating or conspiring in, directly or
14	indirectly, the violation of the terms of this chapter or any regulation adopted by
15	the board pursuant to this chapter.
16	···· ››
17	5. Section 4955.1 of the Code states:
18	"The board may deny, suspend, revoke, or impose probationary conditions
19	upon the license of any acupuncturist if he or she is guilty of committing a
20	fraudulent act including, but not be limited to, any of the following:
_21	···
22	"(e) Failing to maintain adequate and accurate records relating to the provision of
23	services to their patients."
24	6. California Code of Regulations, title 16, section 1399.453, states:
25	"An acupuncturist shall keep complete and accurate records on each
26	patient who is given acupuncture treatment, including but not limited to,
27	treatments given and progress made as a result of the acupuncture treatments."
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## 7. Section 726 of the Code states:

"The commission of any act of sexual abuse, misconduct, or relations with a patient, client, or customer constitutes unprofessional conduct and grounds for disciplinary action for any person licensed under this division, under any initiative act referred to in this division and under Chapter 17 (commencing with Section 9000) of Division 3.

"This section shall not apply to sexual contact between a physician and surgeon and his or her spouse or person in an equivalent domestic relationship when that physician and surgeon provides medical treatment, other than psychotherapeutic treatment, to his or her spouse or person in an equivalent domestic relationship."

#### **RECOVERY OF COSTS**

8. Section 4959 of the Code states:

"(a) The board may request the administrative law judge, under his or her proposed decision in resolution of a disciplinary proceeding before the board, to direct any licensee found guilty of unprofessional conduct to pay to the board a sum not to exceed actual and reasonable costs of the investigation and prosecution of the case.

"(b) The costs to be assessed shall be fixed by the administrative law judge and shall not in any event be increased by the board. When the board does not adopt a proposed decision and remands the case to an administrative law judge, the administrative law judge shall not increase the amount of any costs assessed in the proposed decision."

"(c) When the payment directed in the board's order for payment of costs is not made by the licensee, the board may enforce the order for payment in the superior court in the county where the administrative hearing was held. This right of enforcement shall be in addition to any other rights the board may have as to any licensee directed to pay costs.

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1	"(d) In any judicial action for the recovery of costs, proof of the board's	
	decision shall be conclusive proof of the validity of the order of payment and the	
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3	terms for payment.	
4	"(e) All costs recovered under this section shall be considered a	
5	reimbursement for costs incurred and shall be deposited in the Acupuncture	
6	Fund."	
7	FIRST CAUSE FOR DISCIPLINE	
8	(Sexual Misconduct/Relations with a Patient)	
9	9. Respondent is subject to disciplinary action under sections 4955 and 726	
10	of the Code, in that he has engaged in sexual misconduct, and/or has had a sexual relations with a	
11	patient. The circumstances are as follows:	
12	. 10. From about January 2004, Respondent began providing acupuncture	
13	treatment to patient D.T.	
. 14	11. Respondent continued to provide Respondent acupuncture treatment to	
15	patient D.T. until about June 2006.	
16	12. Between January 2004 and June 2006, Respondent had an ongoing,	
17	consensual sexual relationship with patient D.T.	
18	13. Between January 2004 and June 2006, Respondent engaged in consensual	
19	sexual acts, including but not limited to sexual intercourse, with patient D.T. in Respondent's	
20	office on multiple occasions.	
21	SECOND CAUSE FOR DISCIPLINE	
22	(Failure to Keep Accurate Records)	
23	14. Respondent is further subject to disciplinary action under section 4955.1,	
24	subdivision (e) of the Code, and section 1399.453 of Title 16 of the California Code of	
25	Regulations, in that he failed to keep complete and accurate records regarding his provision of	
26	acupuncture services to patient D.T. The circumstances are as follows:	
27	15. Paragraphs 10 and 11, above, are hereby incorporated by reference as if	
28	fully set forth herein.	
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1	16. Between January 2004 and July 9, 2006, patient D.T. wrote checks to	
2	Respondent for acupuncture treatments on at least twenty-four occasions.	
3	17. Between January 2004 and June 2006, Respondent entered treatment notes	
4	in patient D.T.'s medical records only twice, on March 30, 2004 and April 2, 2004.	
5	18. Respondent failed to chart the acupuncture treatments he provided to	
6	patient D.T., and he additionally failed to chart patient D.T.'s progress from such treatments.	
7	19. On or about April 29, 2008, Respondent admitted to Senior Investigator	
8	A.H. that he did not chart patient D.T.'s progress because she was his "girlfriend."	
9	THIRD CAUSE FOR DISCIPLINE	
10	(Violation of Acupuncture Licensure Act or Regulation)	
11	20. Respondent is further subject to disciplinary action under section 4955, as	
12	defined by section 4955, subdivision (d), of the Code, in that he has violated the terms of the	
13	Acupuncture Licensure Act, or a regulation adopted by the Board pursuant to the Acupuncture	
14	Licensure Act. The circumstances are as follows:	
15	21. Paragraphs 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, and 20, above, are	
16	hereby incorporated by reference as if fully set forth herein.	
17	FOURTH CAUSE FOR DISCIPLINE	
18	(Unprofessional Conduct)	
19	22. Respondent is further subject to disciplinary action under section 4955 of	
20	the Code, in that he has engaged in unprofessional conduct by breaching the rules or ethical code	
21	of conduct of the profession of acupuncture, or by engaging in conduct unbecoming to an	
22	acupuncturist in good standing in the profession and which demonstrates an unfitness to practice	
23	acupuncture. <sup>1</sup> The circumstances are as follows:	
24	23. Paragraphs 10, 11, 12, 13, 14, 17, 18, 19, and 20, above, are hereby	
25	incorporated by reference as if fully set forth herein.	
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28	1. See Shea v. Board of Medical Examiners (1978) 81 Cal.App.3d 564, 575.	
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1	PRAYER	
2	WHEREFORE, Complainant requests that a hearing be held on the matters herein	
3	alleged, and that following the hearing, the Board issue a decision:	
4	1. Revoking or suspending Acupuncturist License Number AC-8254, issued	
5	to Henry David Lee, L.Ac.;	
6	2. Ordering Henry David Lee, L.Ac., to pay the Board the reasonable costs of	
7	the investigation and enforcement of this case, pursuant to Business and Professions Code	
8	section 4959;	
9	3. Taking such other and further action as deemed necessary and proper.	
10		
11	DATED:JAN 6 2009	
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13	and allage 2	
14	JANELLE WEDGE Executive Officer	
15	Acupuncture Board State of California	
16	Complainant	
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