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Case No. 1A-1998-111

BEFORE THE ACUPUNCTURE BOARD DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

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DEC 1 8 2003 ACUPUNCTURE BOARD

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In the Matter of the Accusation Against:	
MARK STEPHEN FIELDS 87 Scripps Drive, Suite 306 Sacramento, CA 995825	
Acupuncture License No. AC-2480	

Respondent.

STIPULATED SETTLEMENT AND DISCIPLINARY ORDER

The attached **Stipulated Settlement and Disciplinary Order** is hereby adopted by the Acupuncture Board, Department of Consumer Affairs, State of California, as its Decision in the aboveentitled matter.

This Decision shall become effective January 9, 2004.

IT IS SO ORDERED December 9, 2003.

PEI LI ZHONG-FONG

Chair Acupuncture Board Department of Consumer Affairs State of California

1 2 3 4 5 6 7	 BILL LOCKYER, Attorney General of the State of California ROBERT C. MILLER, State Bar No. 125422 Deputy Attorney General California Department of Justice 1300 I Street, Suite 125 P.O. Box 944255 Sacramento, CA 94244-2550 Telephone: (916) 324-5161 Facsimile: (916) 327-2247 Attorneys for Complainant 		
8 9	ACUPUNCTURI DEPARTMENT OF CON STATE OF CAL	SUMER AFFAIRS	
10 11 12 13 14	In the Matter of the Accusation Against: MARK STEPHEN FIELD, L.Ac. 87 Scripps Drive, Suite 212. Sacramento, CA 95825 License No. AC-2480	Case No. 1A-1998-111 OAH No. N2003050487 STIPULATED SETTLEMENT AND DISCIPLINARY ORDER	
15	Respondent.		
16			
17	IT IS HEREBY STIPULATED AN	D AGREED by and between the parties to	
18	the above-entitled proceedings that the following matters are true:		
19	PARTIES		
20	1. Complainant Marilyn Nielsen is the Executive Officer of the		
21	Accupuncture Board of California who brought this action solely in her official capacity and is		
22	represented in this matter by Bill Lockyer, Attorney	General of the State of California, by Robert	
23	C. Miller, Deputy Attorney General. 2. Respondent Mark Stephen Fie	lds ("Respondent") is represented in this	
24 25		· · · ·	
23 26	matter by attorneys Steven G. Churchwell and Joel Baiocchi of Livingston & Mattesich, whose address is 1201 K Street, Suite 1100, Sacramento, California 95814-3938.		
20 27	3. On or about July 23, 1985, the Acupuncture Board issued Acupuncture		
28	License number AC-2480 to Mark Stephen Fields. A		
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said license has been in full force and effect.

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JURISDICTION

2 Accusation No. 1A-1998-111, was filed before the Acupuncture Board 4. 3 ("Board"), and is currently pending against Respondent. The Accusation, together with all other 4 statutorily required documents, was duly served on Respondent on March 11, 2003, and 5 Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation 6 No. 1A-1998-111 is attached as Exhibit A and incorporated herein by reference. 7 ADVISEMENT AND WAIVERS 8 Respondent has carefully read and discussed with his counsel the nature of 5. 9 the charges and allegations. 10 Respondent is fully aware of his legal rights in this matter, including the 6. 11 right to a hearing on the charges and allegations in the Accusation, the right to be represented by 12 counsel, at his own expense, the right to confront and cross-examine witnesses against him, the 13 right to present evidence and to testify on his own behalf and to the issuance of subpoenas to 14 compel the attendance of witnesses and the production of documents, the right to reconsideration 15 and court review of an adverse decision, and all other rights accorded by the California 16

17 Administrative Procedure Act and other applicable laws.

Respondent voluntarily, knowingly and intelligently waives and gives up 7. 18 each and every right set forth above. 19

CULPABILITY

Respondent understands that the charges and allegations in the Accusation, 8. 21 if proven at hearing, constitute cause for imposing discipline upon his Acupuncture License 22 number AC-2480. 23

9. Respondent admits the truth of each and every allegation in the Accusation 24 No. 1A-1998-111. 25

Respondent agrees that his Acupuncture License number AC-2480 is 26 10. subject to discipline and he agrees to be bound by the Board's imposition of discipline as set 27 forth in the order below. 28

CONTINGENCY

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2	11. This stipulation shall be subject to the approval of the Board. Respondent	
3	understands and agrees that Acupuncture Board's staff and counsel for complainant may	
4	communicate directly with the Board regarding this stipulation and settlement, without notice to	
5	or participation by Respondent or his counsel. If the Board fails to adopt this stipulation as its	
6	order, the stipulation shall be of no force or effect, it shall be inadmissible in any legal action	
7	between the parties, and the Board shall not be disqualified from further action in this matter by	
8	virtue of its consideration of this stipulation. After Respondent has executed this stipulation, he	
9	shall not be permitted to withdraw from it.	
10	12. The parties agree that facsimile signatures to this Stipulated Settlement	
11	and Disciplinary Order shall have the same force and effect as original signatures.	
12	13. In consideration of the foregoing admissions and stipulations, the parties	
13	agree that the Board shall, without further notice or formal proceeding, issue and enter the	
14	following Disciplinary Order:	
15	DISCIPLINARY ORDER	
16	IT IS HEREBY ORDERED THAT Acupuncture License number AC-2480	
16 17	IT IS HEREBY ORDERED THAT Acupuncture License number AC-2480 issued to Mark Stephen Fields is revoked. However, the revocation is stayed and Respondent is	
17	issued to Mark Stephen Fields is revoked. However, the revocation is stayed and Respondent is	
17 18	issued to Mark Stephen Fields is revoked. However, the revocation is stayed and Respondent is placed on probation for a period of five (5) years under the following terms and conditions:	
17 18 19	 issued to Mark Stephen Fields is revoked. However, the revocation is stayed and Respondent is placed on probation for a period of five (5) years under the following terms and conditions: <u>ACTUAL SUSPENSION</u>: As part of the probation, Respondent is 	
17 18 19 20	 issued to Mark Stephen Fields is revoked. However, the revocation is stayed and Respondent is placed on probation for a period of five (5) years under the following terms and conditions: <u>ACTUAL SUSPENSION</u>: As part of the probation, Respondent is suspended from the practice of acupuncture for 30 days beginning with the effective date of this 	
17 18 19 20 21	 issued to Mark Stephen Fields is revoked. However, the revocation is stayed and Respondent is placed on probation for a period of five (5) years under the following terms and conditions: <u>ACTUAL SUSPENSION</u>: As part of the probation, Respondent is suspended from the practice of acupuncture for 30 days beginning with the effective date of this decision. 	
17 18 19 20 21 22	 issued to Mark Stephen Fields is revoked. However, the revocation is stayed and Respondent is placed on probation for a period of five (5) years under the following terms and conditions: <u>ACTUAL SUSPENSION</u>: As part of the probation, Respondent is suspended from the practice of acupuncture for 30 days beginning with the effective date of this decision. <u>COURSEWORK</u>: Respondent shall take and successfully complete 15 	
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 17 18 19 20 21 22 23 24 	 issued to Mark Stephen Fields is revoked. However, the revocation is stayed and Respondent is placed on probation for a period of five (5) years under the following terms and conditions: <u>ACTUAL SUSPENSION</u>: As part of the probation, Respondent is suspended from the practice of acupuncture for 30 days beginning with the effective date of this decision. <u>COURSEWORK</u>: Respondent shall take and successfully complete 15 hours of coursework in the following area: ethics and other related topics. All coursework shall be taken at the graduate level at a school approved by the Acupuncture Board. Classroom 	
 17 18 19 20 21 22 23 24 25 	 issued to Mark Stephen Fields is revoked. However, the revocation is stayed and Respondent is placed on probation for a period of five (5) years under the following terms and conditions: <u>ACTUAL SUSPENSION</u>: As part of the probation, Respondent is suspended from the practice of acupuncture for 30 days beginning with the effective date of this decision. <u>COURSEWORK</u>: Respondent shall take and successfully complete 15 hours of coursework in the following area: ethics and other related topics. All coursework shall be taken at the graduate level at a school approved by the Acupuncture Board. Classroom attendance must be specifically required. Course content shall be pertinent to the violation and 	
 17 18 19 20 21 22 23 24 25 26 	 issued to Mark Stephen Fields is revoked. However, the revocation is stayed and Respondent is placed on probation for a period of five (5) years under the following terms and conditions: <u>ACTUAL SUSPENSION</u>: As part of the probation, Respondent is suspended from the practice of acupuncture for 30 days beginning with the effective date of this decision. <u>COURSEWORK</u>: Respondent shall take and successfully complete 15 hours of coursework in the following area: ethics and other related topics. All coursework shall be taken at the graduate level at a school approved by the Acupuncture Board. Classroom attendance must be specifically required. Course content shall be pertinent to the violation and all coursework must be completed within the first 3 years of probation. The required coursework 	

submit a plan for the Acupuncture Board's prior approval for meeting the educational
 requirements. All costs of the coursework shall be borne by the Respondent.

3 3. <u>COMMUNITY SERVICE</u> Within 60 calendar days of the effective date 4 of this Decision, respondent shall submit to the Board or its designee for prior approval a 5 community service plan in which respondent shall provide 120 hours per year of free services to 6 a community or non-profit organization during the first two years of probation.

7 4. <u>OBEY ALL LAWS:</u> Respondent shall obey all federal, state and local
8 laws and all regulations governing the practice of acupuncture in California. A full and detailed
9 account of any and all violations of law shall be reported by the Respondent to the Acupuncture
10 Board in writing within seventy-two (72) hours of occurrence.

5. <u>QUARTERLY REPORTS:</u> Respondent shall submit quarterly
 declarations under penalty of perjury on forms provided by the Acupuncture Board, stating
 whether there has been compliance with all the conditions of probation.

6. <u>SURVEILLANCE PROGRAM</u>: Respondent shall comply with the
Acupuncture Board's probation surveillance program and shall, upon reasonable notice, report to
the assigned investigative district office. Respondent shall contact the assigned probation
surveillance monitor regarding any questions specific to the probation order. Respondent shall
not have any unsolicited or unapproved contact with 1) victims or complainants associated with
the case; 2) Acupuncture Board members or its staff; or 3) persons serving the Acupuncture
Board as expert examiners.

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7. INTERVIEW WITH THE ACUPUNCTURE BOARD OR ITS

DESIGNEE: Respondent shall appear in person for interviews with the
 Acupuncture Board or its designee upon request at various intervals and with reasonable notice.
 CHANGES OF EMPLOYMENT: Respondent shall notify the

8. <u>CHANGES OF EMPLOYMENT:</u> Respondent shall notify the
 Acupuncture Board in writing, through the assigned probation surveillance compliance officer, of
 any and all changes of employment, location and address within 30 days of such change.

279.TOLLING FOR OUT OF STATE PRACTICE OR RESIDENCE: In the28event Respondent should leave California to reside or to practice outside the state, Respondent

must notify the Acupuncture Board in writing of the dates of departure and return. Periods of
 residency or practice outside California will not apply to the reduction of this probationary
 period.

10. <u>EMPLOYMENT AND SUPERVISION OF TRAINEES</u>: Respondent
shall not employ or supervise or apply to employ or supervise acupuncture trainees during the
course of this probation. Respondent shall terminate any such supervisorial relationship in
existence on the effective date of this probation.

8 11. <u>COST RECOVERY</u>: Respondent shall pay to the Acupuncture Board its
9 costs of investigation and enforcement in the amount of \$5,000.

VIOLATION OF PROBATION: If Respondent violates probation in any 12. 10 respect, the Acupuncture Board may, after giving Respondent notice and the opportunity to be 11 heard, revoke probation and carry out the disciplinary order that was stated. If an accusation or 12 petition to revoke probation is filed against Respondent during pronation, the Acupuncture Board 13 shall have continuing jurisdiction until the matter is final, and the period of probation shall be 14 extended until the matter is final. No petition for modification or termination of probation shall 15 be considered while there is an accusation or petition to revoke probation pending against 16 17 Respondent.

18 13. <u>COMPLETION OF PROBATION</u>: Upon successful completion of
 19 probation, Respondent's license will be fully restored.

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1	ACCEPTANCE
2	I have carefully read the above Stipulated Settlement and Disciplinary Order and
3	have fully discussed the terms and conditions and other matters contained therein with my
4	attorney Steven G. Churchwell. I understand the effect this stipulation will have on my
5	Acupuncture License number AC-2480. I enter into this Stipulated Settlement voluntarily,
6	knowingly and intelligently and agreed to be bound by the Disciplinary Order and Decision of the
7 8	Acupuncture Board. DATED: 8/27/03. Mars Jak
9	MARK STEPHEN FIELDS Respondent
10	I have read and fully discussed with Respondent Mark Stephen Fields the terms
11	and conditions and other matters contained in the above Stipulated Settlement and Disciplinary
12	Order and approve its form and content.
13	DATED: JOEL C.BAIOCCHI, ESQ.
14	Attorney for Respondent
15	$\sum COO a$
16	DATED: 8-29-03
17	Attorney for Respondent
18	
19	<u>ENDORSEMENT</u>
20	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully
21	submitted for consideration by the Acupuncture Board.
22	DATED: <u>9/15/03</u> . BILL LOCKYER, Attorney General
23	of the State of California
24 25	Nel Amid
26	ROBERT C. MILLER
27	Deputy Attorney General
28	Attorneys for Complainant C:\Documents and Settings\schurchwell\Local Settings\Temporary Internet Files\OLK19\Stip-2.wpd
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	FILED
	MAR 1 1 2003
- 1	BILL LOCKYER, Attorney General of the State of California ACUPUNCTURE BOARD
2	GAIL M. HEPPELL, Supervising
3	Deputy Attorney General ROBERT C. MILLER
4	Deputy Attorney General 1300 I Street, Suite 125 COPY
5	P. O. Box 944255 Sacramento, CA 94244-2550
6	Telephone: (916) 324-5161
	Attorneys for Complainant
7	
8	BEFORE THE ACUPUNCTURE BOARD OF CALIFORNIA
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA
10	STATE OF CALIFORNIA
11	In the Matter of the Accusation Against:) Case No. 1A-1998-111
12) MARK STEPHEN FIELDS
13	87 Scripps Drive, # 212 .) A C C U S A T I O N
14	Sacramento, CA 95825
15	Acupuncture License
16	Respondent.
)
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.18	The Complainant alleges:
19	PARTIES
20	1. Complainant, Marilyn Nielsen, is the Executive Officer of the
21	Acupuncture Board of California (hereinafter the "Board") and brings this accusation solely in her
22	official capacity.
23	2. On or about July 23, 1985, Acupuncture License No. AC-2480 was issued
24	by the Board to Mark Stephen Fields (hereinafter "respondent"), and at all times relevant to the
25	charges brought herein, this license has been in full force and effect.
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JURISDICTION

3. This accusation is brought before the Acupuncture Board of California, Department of Consumer Affairs (hereinafter the "Board"), under the authority of the following sections of the California Business and Professions Code (hereinafter "Code") and/or other relevant statutory enactment:

A. Section 4955 of the Code provides that the Board may deny, suspend or revoke or impose probationary conditions upon the license of any acupuncturist who has been found guilty of unprofessional conduct.

B. Section 4955(b) of the Code provides that conviction of a crime
 substantially related to the qualifications and duties of an acupuncturist constitutes
 unprofessional conduct, and that the record of conviction is conclusive evidence of that
 conviction.

C. Section 4955.1 of the Code provides that the Board may deny, suspend, revoke, or impose probationary conditions upon the license of any acupuncturist if he is guilty of committing a fraudulent act including, but not limited to, any of the following:

(b) Committing a fraudulent or dishonest act as an acupuncturist.

(c) Committing any act involving dishonesty or corruption with respect to the qualifications, functions or duties of an acupuncturist.

(d) Altering or modifying the medical record of any person, with fraudulent intent, or creating any false medical record.

D. Section 4956 of the Code provides that a plea or verdict of guilty or a conviction following a plea of nolo contendere made to a charge which is substantially related to the qualifications, functions, or duties of an acupuncturist is deemed to be a conviction within the meaning of this chapter. The Board may order a license suspended or revoked, or may deny a license, or may impose probationary conditions upon a license,

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1	when the time for appeal has elapsed, or the judgment of conviction has been affirmed on	
2	appeal, or when an order granting probation is made suspending the imposition of	
3	sentence irrespective of a subsequent order under the provisions of section 1203.4 of the	
4	Penal Code allowing the person to withdraw his or her pleas of guilty and to enter a plea	
5	of not guilty, or setting aside the verdict of guilty, or dismissing the accusation,	
6	complaint, information, or indictment.	
7	E. Section 4959 of the Code provides in pertinent part that the Board may	
8	request the administrative law judge to direct any licentiate found to have committed a	
9	violation or violations of the licensing act to pay the Board a sum not to exceed actual and	
10	reasonable costs of the investigation and prosecution of the case.	
11	4. Respondent is guilty of unprofessional conduct within the meaning of	
12	Code sections 4955(b) and 4955.1 as more particularly set forth hereinbelow.	
13	FIRST CAUSE FOR DISCIPLINE	
14	(Conviction of a Crime) [Bus. & Prof. Code § 4955(b)]	
15	5. On May 22, 2000, in Sacramento County Superior Court, respondent Mark	
16	Stephen Fields was convicted by plea of nolo contendere to one count of a 41 count criminal	
17	complaint of violating Penal Code section 550(A)(7), knowingly submitting a false health	
.18	insurance claim, a felony. On June 26, 2000, respondent was placed on three years formal	
19	probation with one day in jail, credit for time served.	
20	6. Respondent's conduct as set forth above constitutes unprofessional	
21	conduct in violation of section 4955(b) of the Code and constitutes grounds for disciplinary	
22	action.	
23	SECOND CAUSE FOR DISCIPLINE	
24	(Fraudulent or Dishonest Act) [Bus. & Prof. Code § 4955.1]	
25	7. Complainant realleges paragraph 5 above as if fully set forth herein.	
26	8 Respondent's submission of fraudulent health insurance claims constitutes	
27	unprofessional conduct pursuant to section 4955.1 of the Code and is grounds for disciplinary	

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THIRD CAUSE FOR DISCIPLINE (Fraudulent or Dishonest Act) [Bus. & Prof. Code § 4955.1]

9. Complainant realleges paragraph 5 above as if fully set forth herein. 4 On April 23, 2002, respondent submitted a license renewal application to 10. 5 6 the Acupuncture Board. On the renewal application, respondent checked "no" on the section 7 which asked if he had been convicted of any violation of any law since the date of his last license renewal. As respondent had been convicted in May, 2000, of a violation of a law of the State of 8 California, his answer on his license renewal application was an act of dishonesty and 9 unprofessional conduct in violation of section 4955.1 of the Code and is grounds for disciplinary 10 action. 11 12 PRAYER

WHEREFORE complainant requests that a hearing be held on the matters herein 13 alleged and that, following the hearing, the Board issue a decision: 14

Revoking or suspending Acupuncture License Number AC-2480 15 1. heretofore issued to respondent Mark Stephen Fields; 16

2. Ordering respondent to pay the Division the actual and reasonable costs of 17 the investigation and enforcement of this case and the costs of probation monitoring if probation 18 19 is imposed; and

Taking such other and further action as the Division may deem necessary 20 3. of proper. 21

DATED: 3/11/03

Vielsen Marilyn Nielsen

Executive Officer Acupuncture Board Department of Consumer Affairs State of California. Complainant

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