# BEFORE THE CALIFORNIA ACUPUNCTURE BOARD DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Statement of Issues Against:	) Case No. 1A-2010-138
LAWRANCE H. TSAI 1373 Lasuen Drive Millbrae, CA 94030	) ) )
Applicant/Respondent.	
<u>DEC</u>	CISION AND ORDER
	ttlement and Disciplinary Order is hereby adopted by the partment of Consumer Affairs, as its Decision in this matter
This Decision shall become effecti  SEP  It is so ORDERED	ve on OCT 0 1 2011 0 1, 2011

Robert Brewer, Chair Acupuncture Board Department of Consumer Affairs State of California

1	KAMALA D. HARRIS		
2	Attorney General of California Jose R. Guerrero		
3	Supervising Deputy Attorney General State Bar No. 97276		
	CATHERINE E. SANTILLAN		
4	Senior Legal Analyst 455 Golden Gate Avenue, Suite 11000		
5	San Francisco, CA 94102-7004 Telephone: (415) 703-5579		
6	Facsimile: (415) 703-5480		
7	Attorneys for Complainant		
8	BEFORE THE ACUPUNCTURE BOARD		
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
10			
	In the Matter of the Statement of Issues Case No. 1A-2010-138		
11	Against: STIPULATED SETTLEMENT AND		
12	LAWRANCE H. TSAI  1373 Lasuen Drive  DISCIPLINARY ORDER		
13	Millbrae, CA 94030		
14			
15			
16	Applicant/Respondent.		
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18.	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-		
19	entitled proceedings that the following matters are true:		
20	<u>PARTIES</u>		
21	1. Janelle Wedge (Complainant) is the Executive Officer of the Acupuncture Board.		
22	She brought this action solely in her official capacity and is represented in this matter by Kamala		
23	D. Harris, Attorney General of the State of California, by Catherine E. Santillan, Senior Legal		
24	Analyst.		
25	2. Applicant Lawrance H. Tsai (Respondent) is represented in this proceeding by		
26	attorney Ray Hsu, whose address is Law Offices of Ray Hsu, 150 N. Santa Anita Ave., Suite 490		
27	Arcadia, CA 91006.		
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3. On or about March 23, 2011, the Acupuncture Board received Applicant's application signed and dated March 22, 2011, for an Acupuncture license.

## JURISDICTION

4. Statement of Issues No. 1A-2010-138 was filed before the Acupuncture Board (hereinafter "Board"), Department of Consumer Affairs, and is currently pending against Applicant. The Statement of Issues and all other statutorily required documents were properly served on Applicant on July 12, 2011. A copy of Statement of Issues No. 1A-2010-138 is attached as exhibit A and incorporated herein by reference.

## ADVISEMENT AND WAIVERS

- 5. Applicant has carefully read, fully discussed with counsel, and understands the charges and allegations in Statement of Issues No. 1A-2010-138. Applicant has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Applicant is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Statement of Issues; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Applicant voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

## **CULPABILITY**

- 8. Applicant admits the truth of each and every charge and allegation in Statement of Issues No. 1A-2010-138.
- 9. Applicant agrees that his Acupuncture license is subject to denial and he agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

### **CONTINGENCY**

- 10. This stipulation shall be subject to approval by the Acupuncture Board. Applicant understands and agrees that counsel for Complainant and the staff of the Acupuncture Board may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Applicant or his counsel. By signing the stipulation, Applicant understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 11. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 12. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

## **DISCIPLINARY ORDER**

IT IS HEREBY ORDERED that an Acupuncture license will be issued to Applicant Lawrance H. Tsai and immediately revoked. The revocation will be stayed and the Applicant placed on five (5) years probation on the following terms and conditions.

1. <u>DRUG AND ALCOHOL ABUSE TREATMENT</u> Effective 30 days from the date of this Decision, respondent shall enter an inpatient or outpatient drug or alcohol abuse recovery program [a minimum of six (6) months] or an equivalent program approved by the Board.

Quitting the program without permission or being expelled for cause shall constitute a violation of probation by respondent. Subsequent to the program, respondent shall participate in on-going treatment such as receiving individual and/or group therapy from a psychologist trained in alcohol and drug abuse treatment; and/or attend Twelve-Step meetings or the equivalent as approved by the Board at least three times a week during the first year of probation: and/or other

substance abuse recovery program approved by the Board. Respondent shall pay all costs of treatment and therapy, and provide documentation of attendance at Twelve-Step meetings or the equivalent as approved by the Board. This psychologist shall confirm that respondent has complied with the requirements of this Decision and shall notify the Board immediately if he or she believes that respondent cannot safely render acupuncture services. Respondent shall execute a release authorizing the psychologist to divulge the aforementioned information to the Board.

An inpatient or outpatient drug or alcohol abuse recovery program taken after the facts that gave rise to the charges in the Statement of Issues, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the program would have been approved by the Board or its designee had the program been taken after the effective date of the Decision.

- 2. <u>REIMBURSEMENT FOR PROBATION SURVEILLANCE MONITORING</u>
  Respondent shall reimburse the Board for the hourly costs it incurs in monitoring the probation to ensure compliance for the duration of the probation period.
- 3. <u>COURSEWORK</u> Respondent shall take and successfully complete an Ethics course. The duration of the Ethics course shall be not less than two (2) semester units or three (3) quarter units. All coursework shall be taken at the graduate level at a school approved by the Board. Classroom attendance must be specifically required. Course content shall be pertinent to the violation and all coursework must be completed within the first 3 years of probation. The required coursework must be in addition to any continuing education courses that may be required for license renewal.

Within 90 days of the effective date of this decision, respondent shall submit a plan for the Board's prior approval for meeting the educational requirements. All costs of the coursework shall be borne by the respondent.

4. <u>OBEY ALL LAWS</u> Respondent shall obey all federal, state and local laws and all regulations governing the practice of acupuncture in California. A full and detailed account of any and all violations of law shall be reported by the respondent to the Board in writing within seventy-two (72) hours of occurrence.

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effective date of this probation.

Section 4211 of the Business and Professions Code, or any drugs requiring a prescription.

Respondent shall abstain completely from the use of alcoholic beverages. Respondent shall undergo random, biological fluid testing as determined by the Board. Respondent shall bear all costs of such testing. The length of time and frequency will be determined by the Board. Any confirmed positive finding will be considered a violation of probation.

6. EMPLOYMENT AND SUPERVISION OF TRAINEES Respondent shall not employ or supervise or apply to employ or supervise acupuncture trainees during the course of

7. QUARTERLY REPORTS Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

this probation. Respondent shall terminate any such supervisory relationship in existence on the

ABSTAIN FROM DRUGS AND ALCOHOL AND SUBMIT TO TESTS AND

SAMPLES Respondent shall abstain completely from the personal use or possession of

controlled substances as defined in the California Uniform Controlled Substances Act (Division

10, commencing with section 11000, Health and Safety Code) and dangerous drugs as defined by

- 8. <u>SURVEILLANCE PROGRAM</u> Respondent shall comply with the Board's probation surveillance program and shall, upon reasonable notice, report to the assigned investigative district office. Respondent shall contact the assigned probation surveillance monitor regarding any questions specific to the probation order. Respondent shall not have any unsolicited or unapproved contact with 1) victims or complainants associated with the case; 2) Board members or members of its staff; or 3) persons serving the Board as expert examiners.
- 9. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u> Respondent shall appear in person for interviews with the Board or its designee upon request at various intervals and with reasonable notice.
- 10. <u>CHANGES OF EMPLOYMENT</u> Respondent shall notify the Board in writing, through the assigned probation surveillance compliance officer of any and all changes of employment, location and address within 30 days of such change.

- 11. <u>TOLLING FOR OUT-OF-STATE PRACTICE OR RESIDENCE</u> In the event respondent should leave California to reside or to practice outside the State, respondent must notify the Board in writing of the dates of departure and return. Periods of residency or practice outside California will not apply to the reduction of this probationary period.
- 12. <u>EMPLOYMENT AND SUPERVISION OF TRAINEES</u> Respondent shall not employ or supervise or apply to employ or supervise acupuncture trainees during the course of this probation. Respondent shall terminate any such supervisorial relationship in existence on the effective date of this probation.
- 13. <u>VIOLATION OF PROBATION</u> If respondent violates probation in any respect, the Board may, after giving respondent notice and the opportunity to be heard, revoke probation and carry out the disciplinary order that was stated. If an accusation or petition to revoke probation is filed against respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final. No petition for modification or termination of probation shall be considered while there is an accusation or petition to revoke probation pending against respondent.
- 14. <u>COMPLETION OF PROBATION</u> Upon successful completion of probation, respondent's license will be fully restored.

# **ACCEPTANCE**

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Ray Hsu. I understand the stipulation and the effect it will have on my Acupuncture license. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Acupuncture Board.

DATED: 8/1/201

LAWRANCE H. TSAI
Applicant

1	I have read and fully discussed with Applicant Lawrance H. Tsai the terms and conditions		
2	and other matters contained in the above Stipulated Settlement and Disciplinary Order. I appro-		
3	its form and content.		
4	DATED: 8/1/2011 Church Her		
5	Ray Hsu Attorney for Respondent		
6			
7	<u>ENDORSEMENT</u>		
8	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully		
9	submitted for consideration by the Acupuncture Board of the Department of Consumer Affairs.		
10	Dated: August 8, 2011 Respectfully submitted,		
11	Kamala D. Harris		
12 13	Attorney General of California JOSE R. GUERRERO Supervising Deputy Attorney General		
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15	Catherine Santillan		
16	CATHERINE E. SANTILLAN Senior Legal Analyst Attorneys for Complainant		
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# Exhibit A

Statement of Issues No. 1A-2010-138

1	KAMALA D. HARRIS Attorney General of California	FILED	
2	JOSE R. GUERRERO State Bar No. 97276	JUL 1 2 2011	
3	Supervising Deputy Attorney General CATHERINE E. SANTILLAN	00L 1 Z Z0II	
4	Senior Legal Analyst 455 Golden Gate Avenue, Suite 11000	ACUPUNCTURE BOARD	
5	San Francisco, CA 94102-7004 Telephone: (415) 703-5579		
6	Facsimile: (415) 703-5480 Attorneys for Complainant		
7 8	BEFORE THE ACUPUNCTURE BOARD		
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
10		<b>_</b>	
11	In the Matter of the Statement of Issues Against:	Case No. 1A-2010-138	
12	LAWRANCE H. TSAI 1373 Lasuen Drive	STATEMENT OF ISSUES	
13	Millbrae, CA 94030		
14	Applicant.		
15	11		
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17	Complainant alleges:		
18	<u>PARTIES</u>		
19	1. Jannelle Wedge (Complainant) brings this Statement of Issues solely in her official		
20	capacity as the Executive Officer of the Acupuncture Board, Department of Consumer Affairs.		
21	2. On or about March 23, 2011, the Acupuncture Board, Department of Consumer		
22	Affairs received an application for an Acupuncture license from Lawrance H. Tsai (Applicant).		
23	On or about March 22, 2011, Applicant certified under penalty of perjury to the truthfulness of al		
24	statements, answers, and representations in the application. The Board denied the application on		
25	April 25, 2011. Applicant requested a hearing on May 18, 2011.		
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## **JURISDICTION**

- 3. This Statement of Issues is brought before the Acupuncture Board (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
  - 4. Section 4955 of the Code states:

"The board may deny, suspend, or revoke, or impose probationary conditions upon, the license of any acupuncturist if he or she is guilty of unprofessional conduct.

"Unprofessional conduct shall include, but not be limited to, the following:

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"(b) Conviction of a crime substantially related to the qualifications, functions, or duties of an acupuncturist, the record of conviction being conclusive evidence thereof."

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- "(i) Any action or conduct that would have warranted the denial of the acupuncture license."
- 5. Section 475 of the Code states:
- "(a) Notwithstanding any other provisions of this code, the provisions of this division shall govern the denial of licenses on the grounds of:

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- "(2) Conviction of a crime.
- "(4) Commission of any act which, if done by a licentiate of the business or profession in question, would be grounds for suspension or revocation of license.

- "(c) A license shall not be denied, suspended, or revoked on the grounds of a lack of good moral character or any similar ground relating to an applicant's character, reputation, personality, or habits."
  - 6. Section 480 of the Code states:

- "(a) A board may deny a license regulated by this code on the grounds that the applicant has one of the following:
- "(1) Been convicted of a crime. A conviction within the meaning of this section means a plea or verdict of guilty or a conviction following a plea of nolo contendere. Any action that a board is permitted to take following the establishment of a conviction may be taken when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal, or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4 of the Penal Code.

- "(3) (A) Done any act that if done by a licentiate of the business or profession in question, would be grounds for suspension or revocation of license.
- "(B) The board may deny a license pursuant to this subdivision only if the crime or act is substantially related to the qualifications, functions, or duties of the business or profession for which application is made.
- "(b) Notwithstanding any other provision of this code, no person shall be denied a license solely on the basis that he or she has been convicted of a felony if he or she has obtained a certificate of rehabilitation under Chapter 3.5 (commencing with Section 4852.01) of Title 6 of Part 3 of the Penal Code or that he or she has been convicted of a misdemeanor if he or she has met all applicable requirements of the criteria of rehabilitation developed by the board to evaluate the rehabilitation of a person when considering the denial of a license under subdivision (a) of Section 482.
  - 7. Section 490 of the Code states:
- "(a) In addition to any other action that a board is permitted to take against a licensee, a board may suspend or revoke a license on the ground that the licensee has been convicted of a crime, if the crime is substantially related to the qualifications, functions, or duties of the business or profession for which the license was issued.
- "(b) Notwithstanding any other provision of law, a board may exercise any authority to discipline a licensee for conviction of a crime that is independent of the authority granted under

subdivision (a) only if the crime is substantially related to the qualifications, functions, or duties of the business or profession for which the licensee's license was issued.

"(c) A conviction within the meaning of this section means a plea or verdict of guilty or a conviction following a plea of nolo contendere. Any action that a board is permitted to take following the establishment of a conviction may be taken when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal, or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4 of the Penal Code.

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#### 8. Section 493 of the Code states:

"Notwithstanding any other provision of law, in a proceeding conducted by a board within the department pursuant to law to deny an application for a license or to suspend or revoke a license or otherwise take disciplinary action against a person who holds a license, upon the ground that the applicant or the licensee has been convicted of a crime substantially related to the qualifications, functions, and duties of the licensee in question, the record of conviction of the crime shall be conclusive evidence of the fact that the conviction occurred, but only of that fact, and the board may inquire into the circumstances surrounding the commission of the crime in order to fix the degree of discipline or to determine if the conviction is substantially related to the qualifications, functions, and duties of the licensee in question.

"As used in this section, 'license' includes 'certificate,' 'permit,' 'authority,' and 'registration.'"

## CAUSE FOR DENIAL OF APPLICATION

(Conviction)

9. Applicant's application is subject to denial under code sections 475(1)(2), 480(A)(1) 480(3)(B), 490(a) and (c), and 4955(b) in that he has two substantially related misdemeanor convictions. The circumstances are as follows:

## 2010 DUI conviction

- Officer Tharp investigated a report of a reckless driver on Main Street in Saint Helena, California. He observed a vehicle matching the report, and conducted a traffic stop. The driver was identified as Applicant, via his driver's license. As the officer spoke to Applicant, the officer smelled a strong odor of alcohol and observed that Applicant appeared to have red wine stains on his mouth. Applicant admitted that he drank a total of six glasses of wine at two wineries earlier that day. Per the officer's instructions, Applicant performed field sobriety tests. Based on his poor performance, objective symptoms of intoxication, admission of drinking alcohol, and poor driving behavior, the officer formed the opinion that Applicant was under the influence of alcohol and arrested Applicant for violating Vehicle Code section 23152(a) [driving under the influence of alcohol] and Vehicle Code section 23152(b) [driving with a blood alcohol content of .08% or more]. A blood sample was obtained from Applicant, which indicated a blood alcohol content of .16%.
- 11. On or about April 1, 2010, in *People of the State of California vs. Lawrance H. Tsai*, Napa County Superior Court case number CR149009, Applicant was convicted on his plea of nolo contendere to a misdemeanor violation of Vehicle Code section 23152(b) [driving with a blood alcohol content above .08%], and he admitted a special allegation per Vehicle Code section 23578 [excessive blood alcohol above .15%]. He was sentenced as follows: summary probation for five years under terms and conditions, including payment of fines, serve ten days in jail with credit for two days served, interlock ignition device installed for two years, enroll and successfully complete an 18 month Drinking Drivers Program.

# 2005 DUI conviction

12. On or about February 24, 2005, in *People of the State of California vs. Lawrance H.*Tsai, Los Angeles County Superior Court case number 5PM00551, Applicant was convicted on his plea of nolo contendere to a misdemeanor violation of Vehicle Code section 23152(b) [driving with a blood alcohol content above .08%.] He was sentenced to three years formal probation,