BEFORE THE ACUPUNCTURE BOARD DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Case No. 1A-2011-27

BRENT JOSEPH BERTOLAMI, L.AC. 6644 Blucher Ave.

Van Nuys, CA 91406

Acupuncturist License No. AC12979,

Respondent.

OAH Case No. 2014070630

DECISION AND ORDER

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Acupuncture Board, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective on ______ **JUL 0 1 2015**

It is so ORDERED _______ JUN 0 1 2015

FOR THE ACUPUNCTURE BOARD DEPARTMENT OF CONSUMER AFFAIRS

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1	KAMALA D. HARRIS Attorney General of California JUDITH T. ALVARADO Supervising Deputy Attorney General WENDY WIDLUS Deputy Attorney General State Bar No. 82958 California Department of Justice 300 South Spring Street, Suite 1702 Los Angeles, California 90013 Telephone: (213) 897-2867 Facsimile: (213) 897-9395 E-mail: Wendy. Widlus@doj.ca.gov	
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8	Attorneys for Complainant	
9	BEFORE THE	
10	ACUPUNCTURE BOARD DEPARTMENT OF CONSUMER AFFAIRS	
11	STATE OF CALIFORNIA	
12	In the Matter of the Accusation Against:	Case No. 1A-2011-27
13	BRENT JOSEPH BERTOLAMI, L.AC. 6644 Blucher Ave.	OAH Case No. 2014070630
14	Van Nuys, CA 91406 Acupuncturist License No. AC12979,	STIPULATED SETTLEMENT AND
15		DISCIPLINARY ORDER
16	Respondent.	
17		
18	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-	
19	entitled proceedings that the following matters are true:	
20	PARTIES	
21	1. Terri Thorfinnson (Complainant) is the Executive Officer of the Acupuncture Board	
22	(Board). She brought this action solely in her official capacity and is represented in this matter by	
23	Kamala D. Harris, Attorney General of the State of California, by Wendy Widlus, Deputy	
24	Attorney General.	
25	2. Respondent Brent Joseph Bertolami, L.Ac. is represented in this proceeding by	
26	attorney Carey Caruso, whose address is: 425 South Beverly Drive, Beverly Hills, CA 90212.	
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3. On or about February 24, 2009, the Board issued Acupuncture License No. AC12979 to Respondent. The Acupuncture License was in full force and effect at all times relevant to the charges brought in Accusation No. 1A-2011-27 and will expire on December 31, 2014, unless renewed.

JURISDICTION

4. Accusation No. 1A-2011-27 was filed before the Acupuncture Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on June 3, 2014. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. 1A-2011-27 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 1A-2011-27. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

8. Respondent admits the truth of each and every charge and allegation in Accusation No. 1A-2011-27.

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9. Respondent agrees that his Acupuncturist License is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

CONTINGENCY

- 10. This stipulation shall be subject to approval by the Board. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 11. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 12. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Acupuncture License No. AC 12979 issued to Respondent Brent Joseph Bertolami, L.Ac. is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following terms and conditions.

1. PRACTICE MONITOR Within 90 days of the effective date of this decision, Respondent shall submit to the Board for its prior approval, the name and qualifications of one or more California licensed acupuncturists whose license is clear (no record of complaints) and current and who has agreed to serve as a practice monitor for the first two (2) years of Respondent's probation. Once approved, the monitor shall submit to the Board a plan by which Respondent's practice shall be monitored. The monitor's education and experience shall be in the

same field of practice as that of the Respondent. The monitor shall submit written reports to the Board on a quarterly basis verifying that monitoring has taken place and providing an evaluation of Respondent's performance. It shall be Respondent's responsibility to assure that the required reports are filed in a timely fashion. The Respondent shall provide access to the monitor of Respondent's fiscal and client records and shall be permitted to make direct contact with patients. Further, the monitor shall have no prior business, professional, personal or other relationship with Respondent. Respondent shall execute a release authorizing the monitor to divulge any information that the Board may request.

Respondent shall notify all current and potential patients of any term or condition of probation which will affect their treatment or the confidentiality of their records (such as this condition which requires a practice monitor). Such notification shall be signed by each patient prior to continuing or commencing treatment.

If the monitor quits or is otherwise no longer available, Respondent shall not practice until a new monitor has been approved by the Board. All costs of monitoring shall be borne by the Respondent. Monitoring shall consist of at least one hour per week of individual face to face meetings.

2. <u>ABSTAIN FROM DRUGS AND ALCOHOL AND SUBMIT TO TESTS AND</u>

SAMPLES Respondent shall abstain completely from the personal use or possession of controlled substances as defined in the California Uniform Controlled Substances Act (Division 10, commencing with section 11000, Health and Safety Code) and dangerous drugs as defined by Section 4211 of the Business and Professions Code, or any drugs requiring a prescription. This prohibition does not apply to medications lawfully prescribed to Respondent for a bona fide illness or condition by a practitioner licensed to prescribe such medications. Within fifteen (15) calendar days of receiving any lawful prescription medications, Respondent shall notify his probation monitor in writing of the following: prescriber's name, address, and telephone number; medication name and strength, issuing pharmacy name, address, and telephone number.

Respondent shall also provide a current list of prescribed medication with the prescriber's name, address, and telephone number on each quarterly report submitted. Respondent shall provide the

probation monitor with a signed and dated medical release covering the entire probation period. Respondent shall abstain completely from the use of alcoholic beverages. Respondent shall undergo random, biological fluid testing as determined by the Board. Respondent shall bear all costs of such testing. The length of time and frequency will be determined by the Board. Any confirmed positive finding will be considered a violation of probation.

- 3. <u>REIMBURSEMENT FOR PROBATION SURVEILLANCE MONITORING</u>
 Respondent shall reimburse the Board for the hourly costs it incurs in monitoring the probation to ensure compliance for the duration of the probation period.
- 4. <u>COMMUNITY SERVICE</u> Respondent shall provide 100 hours of acupuncture services without charge, nor may Respondent charge for products used during his acupuncture services. Respondent must provide the 100 hours of acupuncture services only through an organization which the Board approves.

The Board approved organization must have one of its staff members supervise Respondent while Respondent provides acupuncture services. That staff member must submit written proof of supervision of Respondent's community service hours to the Board's assigned probation surveillance monitor on a regular basis as directed by the Board.

- 5. OBEY ALL LAWS Respondent shall obey all federal, state and local laws and all regulations governing the practice of acupuncture in California. A full and detailed account of any and all violations of law shall be reported by the Respondent to the Board in writing within seventy-two (72) hours of occurrence.
- 6. <u>QUARTERLY REPORTS</u> Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.
- 7. SURVEILLANCE PROGRAM Respondent shall comply with the Board's probation surveillance program and shall, upon reasonable notice, report to the assigned investigative district office. Respondent shall contact the assigned probation surveillance monitor regarding any questions specific to the probation order. Respondent shall not have any unsolicited or unapproved contact with 1) victims or complainants associated with the case; 2) Board members

 or members of its staff; or 3) persons serving the Board as expert examiners.

- 8. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u> Respondent shall appear in person for interviews with the Board or its designee upon request at various intervals and with reasonable notice.
- 9. <u>CHANGES OF EMPLOYMENT</u> Respondent shall notify the Board in writing, through the assigned probation surveillance compliance officer of any and all changes of employment, location and address within 30 days of such change.
- 10. <u>TOLLING FOR OUT-OF-STATE PRACTICE OR RESIDENCE</u> In the event Respondent should leave California to reside or to practice outside the State, Respondent must notify the Board in writing of the dates of departure and return. Periods of residency or practice outside California will not apply to the reduction of this probationary period.
- 11. <u>EMPLOYMENT AND SUPERVISION OF TRAINEES</u> Respondent shall not employ or supervise or apply to employ or supervise acupuncture trainees during the course of this probation. Respondent shall terminate any such supervisorial relationship in existence on the effective date of this probation.
- 12. <u>COST RECOVERY</u> Respondent shall pay to the Board its costs of investigation and enforcement in the amount of \$8,892.50. This amount shall be paid in full, directly to the Board within six (6) months prior to the termination date of probation. Cost recovery will not be tolled. Respondent understands that failure to timely pay costs is a violation of probation, and submission of evidence demonstrating financial hardship does not preclude the Board from pursuing further disciplinary action. However, Respondent understands that providing evidence and supporting documentation of financial hardship may delay further disciplinary action.

 Consideration to financial hardship will not be given should Respondent violate this term and condition, unless an unexpected AND unavoidable hardship is established from the date of this order to the date payment(s) is due.
- 13. <u>VIOLATION OF PROBATION</u> If Respondent violates probation in any respect, the Board may, after giving Respondent notice and the opportunity to be heard, revoke probation and carry out the disciplinary order that was stated. If an accusation or petition to revoke probation is

ENDORSEMENT The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Acupuncture Board. Respectfully submitted, Kamala D. Harris Attorney General of California JUDITH T. ALVARADO Supervising Deputy Attorney Ger Deputy Attorney General Attorneys for Complainant LA2013609528 61410958.docx

Exhibit A

Accusation No. 1A-2011-27