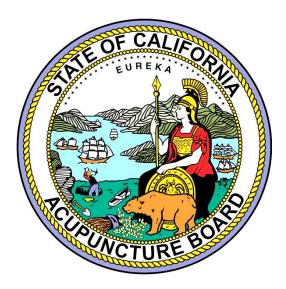
EDUCATION COMMITTEE MEETING January 23, 2015



Board Members

Michael Shi (Chair)

Francisco Hsieh

Jeannie Kang

Jamie Zamora

Staff Member

Katie Le – Education Coordinator

Ben Bodea – Continuing Education Coordinator

AGENDA

BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.

ACUPUNCTURE BOARD 1747 North Market Boulevard, Suite 180, Sacramento, CA 95834 (916) 515-5200 FAX (916) 928-2204 www.acupuncture.ca.gov



JANUARY 23, 2015

Department of Consumer Affairs 1747 North Market Blvd. HQ2 Hearing Room Sacramento, CA 95834

Teleconference Meeting Location: Jeannie Kang, L.Ac., Licensed Member Jamie Zamora, Public Member Junipero Sera State Building 320 W. Fourth Street, 8 A Conference Room, 8th Floor Los Angeles, CA 90013

AGENDA

EDUCATION COMMITTEE MEETING - 9:00 a.m.

- 1. Call to Order and Establishment of a Quorum
- 2. Opening Remarks
- 3. Review and Make Recommendations on School Applications Seeking Board Approval of Acupuncture Training Programs:
 - a) Hongik International University
 - b) Yuin University
- 4. Continuing Education Providers:
 - a) Proposal to Increase Continuing Education Provider Approval and Monitoring Fee (Business and Professions Code section 4945(b) and CCR section 1399.462)
 - b) Proposal to Change Continuing Education Provider Renewal Frequency (CCR section 1399.481(b).)
 - c) Proposal to Change Criteria for Provider Approval (CCR section 1399.481)
- 5. Future Agenda Items

Education Committee Members

Michael Shi, L.Ac, Chair, Licensed Member Kitman Chan, Vice Chair, Public Member Francisco Hsieh, Public Member Jeannie Kang, L.Ac, Licensed Member Jamie Zamora, Public Member





6. Public Comment for Items not on the Agenda

7. Adjournment

Public Comment on items of discussion will be taken during each item. Time limitations will be determined by the Chairperson. Times are approximate and subject to change. Action may be taken on any item listed on the Agenda.

THE AGENDA, AS WELL AS COMMITTEE MEETING MINUTES, CAN BE FOUND ON THE ACUPUNCTURE BOARD'S WEBSITE AT

www.acupuncture.ca.gov

Please Note: Committee meetings are open to the public and are held in barrier free facilities that are accessible to those with physical disabilities in accordance with the Americans with Disabilities Act (ADA). If you need additional reasonable accommodations, please make your request no later than five (5) business days before this meeting. Please direct any questions regarding this meeting to the Board Liaison, Tammy Graver at (916) 515-5204; FAX (916) 928-2204

SCHOOL APPLICATION FOR TRAINING PROGRAM APPROVAL

HONGIK INTERNATIONAL UNIVERSITY EXIT REPORT





Hongik International University 4077 West Pico Boulevard Los Angeles, CA 90019 Training Program Seeking Approval Exit Report

BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY . GOVERNOR EDMUND G, BROWN IR

PART I: BACKGROUND

INSTITUTION HISTORY, PURPOSE, AND MISSION

Located in Los Angeles, CA and occupying approximately over 2,400 square feet, Hongik International University (HIU) was established in 2006 to offer an acupuncture and oriental medicine program to individuals who wish to become practitioners. The purpose of HIU is to educate and develop students' intellectual and analytical skills to become respectable and competent health care providers in Traditional Oriental Medicine and serve their community. The mission of HIU is to:

"to improve the quality of health care by providing graduate education for training practitioners with courses of traditional Oriental medicine integrated with western medical technology through lectures, hands-on laboratory, and clinical training. The University is dedicated to enhancing the standard of professionalism in practice and theory in Traditional Oriental Medicine and the fostering of commitment to pursue lifelong learning. The University is standing in the forefront to carry forward and develop Traditional Oriental Medicine in American health care. Inherent in the school's mission is nurturing and developing professional healing arts practitioners in their own individual style, art, and energy through basic and continuing education".

APPROVAL FROM BPPVE/BPPE

HIU received a conditional approval by the Bureau for Private Postsecondary Education (BPPVE) in 2005. When BPPVE re-established as Bureau for Private Postsecondary and Vocational Education (BPPE) in 2010, HIU applied for re-approval. In July 2014, HIU was granted full approval for its Master of Acupuncture and Oriental Medicine program curriculum portion. However, HIU received a conditional approval for the clinical portion of its program and will be granted full approval from BPPE if the school receives approval from the California Acupuncture Board.

ACCREDITATION

HIU's Master of Science in Acupuncture and Oriental Medicine program is not accredited by the Accreditation Commission for Acupuncture and Oriental Medicine (ACAOM).

DEGREE OFFERED AT HIU

1. Master of Acupuncture and Oriental Medicine

Currently, HIU have 4 students enrolled in its Master of Acupuncture and Oriental Medicine program. HIU currently offers only the Korean Language track.

Student Interview

Interviews were conducted with two of the students. The students were excited to attend HIU and happy with the education they are receiving. Both students praised Dr. Choi for his accomplishments and his teaching style, stating that he is both informative and funny. Additionally, both students were referred to HIU by their families due to Dr. Choi's popularity, both in the Korean-American Community as well as in South Korea.

PART II: GOVERANCE, ADMINSTRATION, AND PERSONNEL

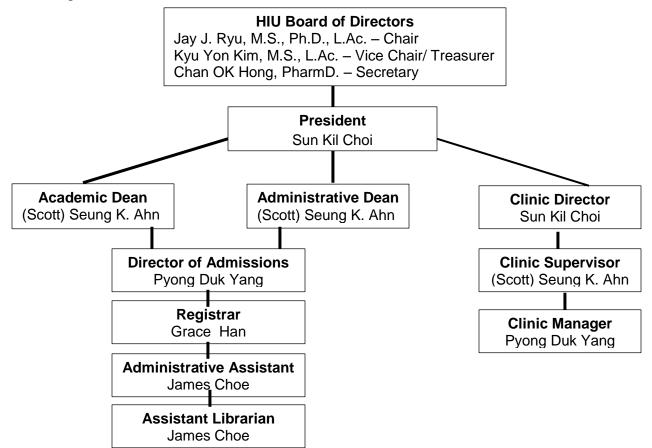
HIU's board of directors consists of three members:

- 1) Jay J. Ryu, M.S., Ph.D., L.Ac. Chair
- 2) Kyu Yon Kim, M.S., L.Ac. Vice Chair/ Treasurer
- 3) Chan OK Hong, PharmD. Secretary

The board of directors meets annually and faculty records the meeting minutes. Additionally, there are five faculties at HIU that holds multiple positions.

- 1) Sun Kil Choi, Ph.D., L.Ac. President & Clinic Director
- 2) (Scott) Sueng Kun Ahn, M.S., L.Ac. Academic Dean, Administrative Dean & Clinic Supervisor
- 3) Pyong Duk Yang, M.S., L.Ac. Director of Admissions & Clinic Manager
- 4) Grace Han-Registrar
- 5) James Choe Assistant Librarian & Administrative Assistant

The organization chart is as follows:



OBSERVATIONS DURING SITE VISIT

Observation #1:

Dr. Choi is the only faculty presently teaching at HIU as well as President and Clinic Director of HIU.

Training Program Corrective Action Taken HIU is actively looking for more instructors. Staff has one potential instructor

Please note that this was a recommendation and is not required of the training program to be in compliance.

Observation #2:

Inconsistent student records:

- a) Numerous students' files were missing financial record statements
- b) Official documents in student files were not corrected properly (i.e. white-out and crossed out words with no initials and dates)

c) Application entrance essays and several correspondences between Registrar and students were not in English

Training Program Corrective Action Taken

- 1. Student records were corrected to include financial records statements (See HIU Corrective Action Report).
- 2. Student records were corrected to include entrance essays. Entrance essays are in Korean and translated into English (See HIU Corrective Action Report).

Please note that this was a recommendation and is not required of the training program to be in compliance.

Observation #3:

Lack of security of student records:

- a) Password for HIU grade database is not periodically changed
- b) Student files are not in a locked cabinet. However, it is located in a locked room
- c) Final exams are kept in President's office and not in a location with other secure documents

Training Program Corrective Action Taken

Observation #3

1. Student records are now kept in a locked cabinet (See HIU Corrective Action Report).

Please note that this was a recommendation and is not required of the training program to be in compliance.

PART III: RESOURCES

TEACHING FACILITIES

HIU have two classrooms located in their main building. The first classroom can seat up to 28 students while the second classroom can seat up to 12 students. The classrooms are equipped with various learning materials such as a full skeleton and other models of the human body, teaching charts, and LCD projectors.

LIBRARY

HIU's library is open on weekdays from 9:00am – 6:00pm. It is over 112 square foot and resourced with approximately 700 Chinese textbooks and 150 Korean textbooks regarding acupuncture and oriental medicine. Additionally, the library contains over 200 English supplemental textbooks. Students can check out books using the "honor system" for a period of 15 days. The library also contains a computer lab that has two

computers for student use. Furthermore, HIU subscribes to database with the latest medical journals and publications.

FINANCES

HIU operates as a non-profit organization. The major expenditures for HIU are: 1) Building Cost 2) Acupuncture Training Program 3) Advertising 4) Administrative and 5) Medical Supply. Their top major incomes are: 1) Medical Clinic 2) Tuition and 3) Contribution. In 2010, HIU had a net surplus of \$22,157 but decreased in 2011 and 2012 with a net surplus of less than \$3000. In 2013 HIU had a deficit of \$6000. School financial records are audited by an outside firm. Please see the chart below for HIU summary of finances for expenditures and income.

	2013	2012	2011	2010
Expenditures				
Buildings	\$66136	\$61636	\$56136	\$26945
Acupuncture Training Program	\$60000	\$54000	\$20000	\$13098
Advertising	\$16605	\$26570	\$24015	\$4200
Administrative	\$30000	\$22000	\$24093	\$8732
Medical Supply	\$14466	\$15582	\$39991	\$0
Total	\$187207	\$179788	\$164236	\$52975
Income				
Medical Clinic	\$187156	\$207397	\$188266	\$68057
Tuition	\$49730	\$30640	\$26220	\$18354
Contribution	\$0	\$0	\$0	\$7000
Net Surplus/Deficit	(\$6311)	\$2315	\$2718	\$22157

Hongik International University Expenditures and Revenues

PART IV: HIU MASTER OF ACUPUNCTURE AND ORIENTAL MEDICINE CURRICULUM NON-COMPLIANCE

HIU is applying for California Acupuncture Board approval for its Master of Acupuncture and Oriental Medicine program. HIU operates as a quarter system with a duration of 30-40 weeks per school year. It is a 4 year program with a total of 3400 hours.

Finding #1: The Physiology requirement is not met due to the lack of discussion of neurochemistry.

Acupuncture Board Training Program Curriculum

CCR Section 1399.434 (a)(6):

"Physiology – a survey of basic physiology, including neurophysiology, endocrinology, and neurochemistry"

HIU Curriculum

HIU listed the following courses to satisfy the CCR Section 1399.434 (a)(6):

WM 300 Anatomy and Physiology I
 WM 302 Anatomy and Physiology II
 WM 304 Anatomy and Physiology III
 WM 306 Anatomy and Physiology IV

None of the four courses listed above include the neurochemistry requirement for physiology.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WM 300 Anatomy & Physiology I, to include neurochemistry (See HIU Corrective Action Report).

This action brings HIU into compliance with CCR Section 1399.434(a)(6).

<u>Finding #2</u>: The Pathology and Pathophysiology requirement is not met due to the lack of discussion of epidemiology.

Acupuncture Board Training Program Curriculum

The curriculum does not meet CCR Section (a)(7): "Pathology and Pathophysiology – a survey of the nature of disease and illness, including microbiology, immunology, psychopathology, and epidemiology"

HIU Curriculum

HIU listed the following courses to satisfy the CCR Section 1399.434 (a)(7):

1) WM 404 Pathology I 2) WM 406 Pathology II 3) WM 408 Pathology III

None of the three courses listed include epidemiology. However, this subject is taught in another course offered at HIU but is not correctly stated in the curriculum requirement form.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WM 406 Pathology II, to include instruction of epidemiology (See HIU Corrective Action Report).

This action brings HIU in compliance with CCR Section 1399.434(a)(7).

<u>Finding #3</u>: The Adjunctive Acupuncture Procedures requirement is not met due to the lack of review of bleeding, cupping, gua sha, and dermal tacks.

Acupuncture Board Training Program Curriculum

CCR Section (b)(2)(i): "Adjunctive acupuncture procedures, including bleeding, cupping, gua sha, and dermal tacks"

HIU Curriculum

HIU listed course, AC 400 Acupuncture Techniques I, to fulfill CCR Section (b)(2)(i), but this course does not include bleeding, cupping, gua sha, and dermal tacks as topics.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, AC 400 Acupuncture Techniques I, to include instruction of bleeding, cupping, gua sha, and dermal tacks (See HIU Corrective Action Report).

This action brings HIU in compliance with CCR Section 1399.434(b)(2)(i).

<u>Finding #4</u>: The Clinical Sciences requirement is not met due to the lack of review of neurology, nutrition, pharmacology, radiology and public health.

Acupuncture Board Training Program Curriculum

CCR Section 1399.434 (c)(10):

"Clinical sciences – a review of internal medicine, pharmacology, neurology, surgery, obstetrics/gynecology, urology, radiology, nutrition, and public health"

HIU Curriculum

HIU stated that the following courses fulfills CCR Section 1399.434(c)(10):

- 1) WM 400 Survey of Western Medicine I
- 2) OM 400 O.M. Internal Medicine I
- 3) OM 406 O.M. Internal Medicine IV
- 4) OM 410 Men's Health & Urology

These courses listed above do not cover: neurology, nutrition, pharmacology, radiology, and public health. Though the subjects: nutrition, pharmacology, radiology, and public health are fulfilled by other courses in HIU curriculum, it is incorrectly listed in the curriculum requirement form. However, HIU still does not have a course that teaches students about neurology.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WM 400 Survey of Western Medicine I, to include instruction of neurology, nutrition, pharmacology, radiology and public health. Upon review of the revised course, WM 400 Survey of Western Medicine, the course still lacks instruction of nutrition and public health.

However, nutrition and public health are fulfilled by other courses in HIU curriculum; it is not correctly listed in the curriculum requirement form and therefore HIU remains in non-compliance (See HIU Corrective Action Report).

HIU is not in compliance with CCR Section 1399.434(c)(10).

<u>Finding #5</u>: The Regulatory and Jurisprudence requirement is not met due to the lack of Labor Code.

Acupuncture Board Training Program Curriculum

CCR Section 1399.434 (e)(3): "Knowledge of regulatory compliance and jurisprudence (municipal, California, and federal laws, including OSHA, Labor Code, Health Insurance Portability and Accountability Act of 1966 (HIPAA)"

HIU Curriculum

HIU stated that the course PM 500 Clinic Management & Public Health I/CPR fulfills CCR Section 1399.434 (e)(3). This course does not include labor code. Therefore, HIU does not have any courses that teach students about labor code.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, PM 500 Clinic Management and Public Health I/CPR, to include instruction of labor code (See HIU Corrective Action Report).

This action brings HIU in compliance with CCR Section 1399.434(e)(3).

Summary of Findings of Hongik International University Curriculum Non-Compliance

CCR Section 1399. 434	HIU Curriculum	Unsatisfied				
Curriculum Requirements		Requirement				
1. (A) (6):	1) WM 300 Anatomy and Physiology I	Neurochemistry				
Physiology – a survey of basic	2) WM 302 Anatomy and Physiology II					
physiology, including	3) WM 304 Anatomy and Physiology III					
neurophysiology, endocrinology,	4) WM 306 Anatomy and Physiology IV					
and neurochemistry						
Training Program Corrective Action Taken:						
Changes were made to the competencies covered in the course, WM 300 Anatomy & Physiology I, to include neurochemistry (See HIU Corrective Action Report).						
include neurochemistry (See Filo Conective Action Report).						
This action brings IIII into compl	ionoc with CCP Section 4200 424(c)(6)					
I his action brings HIU into compl	iance with CCR Section 1399.434(a)(6).					
2. (A) (7):	1) WM 404 Pathology I	Epidemiology				
Pathology and Pathophysiology -	2) WM 406 Pathology II					
a survey of the nature of disease	3) WM 408 Pathology III					
and illness, including microbiology,						
immunology, psychopathology,						
and epidemiology						
Trainin	g Program Corrective Action Taken					
	encies covered in the course, WM 406 Pathe	ology II, to include				
instruction of epidemiology (See HIL	J Corrective Action Report).					
This action brings IIII in complia	non with CCD Section 4200 $424(-)/7$					
This action brings HIU in compila	nce with CCR Section 1399.434(a)(7).					
3. (B) (2) (j):	1) AC 400 Acupuncture Techniques I	Bleeding, cupping,				
Adjunctive acupuncture		gua sha and dermal				
procedures, including bleeding,		tacks				
cupping, gua sha, and dermal						
tacks	a Program Corrective Action Taken					
Training Program Corrective Action Taken Changes were made to the competencies covered in the course, AC 400 Acupuncture Techniques I,						
to include instruction of bleeding, cupping, gua sha, and dermal tacks (See HIU Corrective Action Report).						
This action brings HILL in compliance with CCB Section 1200 424/b/(2)/i)						
This action brings HIU in compliance with CCR Section 1399.434(b)(2)(i).						

4 (C) (10):	1) WM 400 Survey of Western Medicine	Nourology, putrition				
4. (C) (10): Clinical sciences – a review of		Neurology, nutrition, pharmacology,				
internal medicine, pharmacology,	2) OM 400 O.M. Internal Medicine I	radiology, and public				
neurology, surgery,	3) OM 406 O.M. Internal Medicine IV	health				
obstetrics/gynecology, urology,	4) OM 410 Men's Health & Urology	Tiealui				
radiology, nutrition, and public						
health						
	g Program Corrective Action Taken					
	encies covered in the course, WM 400 Surv	ey of Western				
•	neurology, nutrition, pharmacology, radiolog	•				
	WM 400 Survey of Western Medicine, the c					
instruction of nutrition and public health.						
However, nutrition and public health are fulfilled by other courses in HIU curriculum; it is not correctly						
	form and therefore HIU remains in non-com	pliance (See HIU				
Corrective Action Report).						
HIU is not in compliance with CCI	<u>R Section 1399.434(c)(10).</u>					
5. (E) (3):	1) PM 500 Clinic Management & Public	Labor code				
Knowledge of regulatory	Health I/CPR					
compliance and jurisprudence						
(municipal, California, and federal						
laws, including OSHA, Labor						
Code, Health Insurance Portability						
and Accountability Act of 1966						
(HIPAA)						
Trainin	g Program Corrective Action Taken					
Changes were made to the competencies covered in the course, PM 500 Clinic Management and						
Public Health I/CPR, to include instruction of labor code (See HIU Corrective Action Report).						
This action brings HIU in complia	nce with CCR Section 1399.434(e)(3).					

CURRICULUM OBSERVATION - NOT RELATED TO COMPLIANCE

<u>Observation</u>: There is a concern that there are only 3 hours devoted to the Clinical Medicine requirement.

Acupuncture Board Training Program Curriculum

CCR Section 1399.434(c)(11):

The curriculum in clinical medicine, patient assessment and diagnosis shall consist of at least 240 hours of didactic instruction and shall prepare the student to possess the knowledge, skills and abilities necessary to utilize standard physical examinations, laboratory and imaging studies, and international classification of diseases (ICD) diagnostic principles to improve treatment efficacy, patient safety, referral, and continuity of care: to improve communication and collaboration of care with all other medical providers; to assist in the evaluation and documentation of patient progress; and to improve the acupuncturists understanding of biochemical etiology and pathology.

CCR Section 1399.434(c)(11) is satisfied through AC 406 Acupuncture Therapeutics II and OM 408 O.M. Gynecology. However, the teaching of dermatology, pediatrics, ophthalmology, orthopedics, internal medicine, geriatrics, family medicine, traumatology, and emergency care in one week is questionable and does not embrace the spirit of the curriculum.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, AC 406 Acupuncture Therapeutics II which split the review of the clinical sciences into multiple weeks (See HIU Corrective Action Report).

Please note that this was a recommendation and is not required of the training program to be in compliance.

PART V: HIU MASTER OF ACUPUNCTURE AND ORIENTAL MEDICINE CLINICAL NON-COMPLIANCE

HIU submitted a training program application with an operating clinic. Since HIU has not received training program approval from the Board, the school has not started any students in the clinical internship. However, the site visit team evaluated the clinic for compliance to ensure the curriculum requirements are reflected in the clinical training pursuant to 1399.434

<u>Finding</u>: HIU clinical practicum for intern training did not demonstrate sufficient application of Eastern and Western diagnostic procedures in evaluating patients.

Acupuncture Board Training Program Curriculum

CCR Section 1399.434(h) (2):

"Diagnosis and evaluation (minimum 250 hours) – the application of Eastern and Western diagnostic procedures in evaluating patients"

HIU Clinic

The application of Eastern and Western diagnostic procedures in evaluating patients is not met as evidenced by the following findings:

- 1) Proposed Herbs/Formulas not listed in some patient charts. For teaching purposes, herbs/formulas should be included.
- 2) The treatment plan, including the treatment points, was not written in the inspected charts.
- 3) Western assessments were not applied to patients.
- 4) Standard Medical Terminology was not used on the charts.
- 5) Pharmacological Assessments were not conducted.
- 6) Lack of consistency between different patients' medical record.
- 7) The Clean Needle Technique was not properly applied:
 - a. Hand/fingers touched the needle during insertion
 - b. Prior to Needling, the area was disinfected with alcohol dipped cotton ball using a back and forth motion, as opposed to a one time swipe (C rubbing circle).
- 8) No medical records were written or translated into English.
- 9) Worker's Compensation Pain Scale not used. Although the charts did list a section for a pain scale, it was not the two dimensional scale used in California's Worker's Compensation system.
- 10) Patient's records were not accurate and complete:
 - a. Patient's height, weight and blood pressure was not taken
 - b. Patient's files did not have page number or file number
 - c. Inconsistent patient forms. The clinic had two forms, one in English and one in Chinese. The Chinese form had age and gender fields while the English form did not.
 - d. Forms did not have supervisor initials or signature

For the purposes of a training clinic, all of the above should be included in a patient medical chart with Differential Diagnosis/Assessment so that the intern learns all aspects of the training program.

Training Program Corrective Action Taken

HIU submitted redacted medical charts to demonstrate corrective action of noncompliances found during the site visit (See HIU Corrective Action Report). Upon review of the medical charts, HIU clinical practicum for intern training does not demonstrate sufficient application of Eastern and Western diagnostic procedures in evaluating patients evidenced by the following findings:

1) Proposed Herbs/Formulas not listed in some patient charts. For teaching purposes, herbs/formulas should be included.

2) The treatment plan was not written in the inspected charts.

a. On Page 113, medical charts show SP 30 Point Used. SP 30 Point does not exist.

3) Western assessments were not applied to patients.

4) Standard Medical Terminology was not used on the charts. Most medical charts showed description of conditions.

5) Pharmacological Assessments were not conducted.

6) Lack of consistency between different patients' medical record. Some medical charts did not have blood pressure record for initial patient.

7) Not all medical records were written or translated into English in submitted medical records.

9) Worker's Compensation Pain Scales are partially used. Although the charts did list a section for a pain scale (1 to 10), it was not the two dimensional scale used in California's Worker's Compensation system.

10) Patient's records were not accurate and complete:

a. Patient's height, weight and blood pressure was not taken in medical chart labeled "Choi Acupuncture Clinic Form".

b. Patient's files did not have page number or file number.

c. Inconsistent patient forms. The clinics have two forms: 1) University of Clinic and 2) Choi Acupuncture Clinic which is in Chinese. Both forms are not consistent with one another.

d. Chinese Medical Charts does not have supervisor initials or signature.

HIU is not in compliance with CCR Section 1399.434(h)(2).

PART VI: RECOMMENDATION

Site Team Recommendation: Denial

HIU's Master of Acupuncture and Oriental Medicine does not meet Board's requirements; the site visit team recommends denial of HIU's Master of Acupuncture and Oriental Medicine training program to become a California Acupuncture Board approved training program.

YUIN UNIVERSITY EXIT REPORT



BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.



ACUPUNCTURE BOARD

1747 North Market Boulevard, Suite 180, Sacramento, CA 95834 (916) 515-5200 FAX (916) 928-2204 www.acupuncture.ca.gov

Yuin University 2007 E Compton Blvd Compton, CA 90221 Training Program Seeking Approval Exit Report

PART I: BACKGROUND

INSTITUTION MISSION AND OBJECTIVE

Founded on March 1, 1981, Yuin University is a private, international, and independent institute of higher education. The university consists of: the School of Acupuncture & Oriental Medicine, the School of Business Administration, and the School of Theology. Yuin University's School of Acupuncture & Oriental Medicine mission is:

"The School of Acupuncture & Oriental Medicine provides a classroom based non-traditional education and training which will prepare students for the professional practice of Acupuncture and Oriental Herbal Science in the United States."

APPROVAL FROM BPPE

In 2010, Yuin University submitted an application to Bureau for Private Postsecondary and Vocational Education (BPPE) for its Master of Science in Acupuncture & Oriental Medicine program. The application is currently being processed.

ACCREDIATION

Yuin University's Master of Science in Acupuncture & Oriental Medicine program is not accredited by the Accreditation Commission for Acupuncture and Oriental Medicine (ACAOM).

DEGREES OFFERED AT YUIN UNIVERSITY

- 1. Bachelor of Science in Acupuncture & Oriental Medicine
- 2. Master of Science in Acupuncture & Oriental Medicine
- 3. Doctor of Acupuncture/Doctor of Oriental Medicine
- 4. Doctor of Philosophy in Acupuncture & Oriental Medicine
- 5. Bachelors of Arts in Business Administration
- 6. Master of Business Administration
- 7. Doctor of Philosophy in Business Administration
- 8. Bachelor of Arts in Theology
- 9. Master of Divinity
- 10. Doctor of Philosophy in Theology

Yuin University is applying for California Acupuncture Board Training Program approval for its Master of Science in Acupuncture & Oriental Medicine program. Currently, Yuin University does not have any students enrolled in its Master of Science in Acupuncture & Oriental Medicine program. Additionally, Yuin University only offers an English track for this program.

PART II: GOVERANCE, ADMINSTRATION, AND PERSONNEL

Yuin University's Board of Directors consists of six members:

- 1. Christine Lee Chairperson
- 2. Andrew Kim, J.D
- 3. Amen Rahh, Ph.D
- 4. Samuel Ryu, DBA
- 5. Kyung J Kim Ph.D., D.Min.
- 6. Steve Hong, Th.D., D.Min,

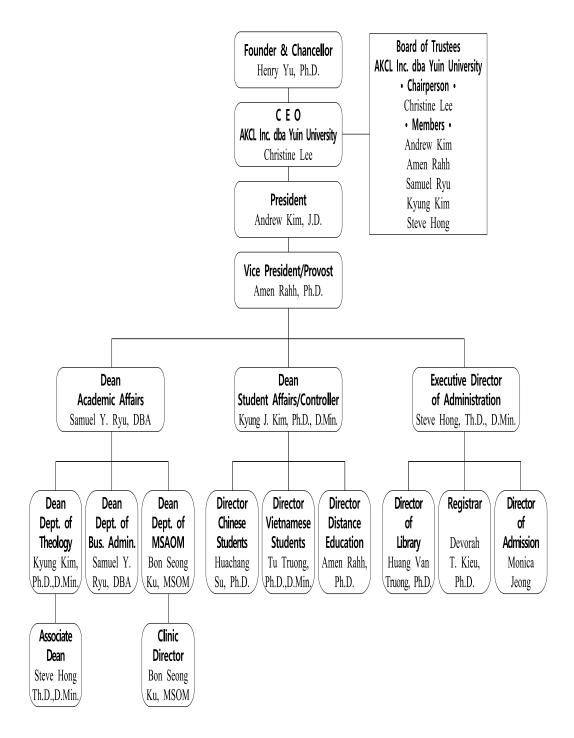
The Board of Directors meets bi-annually and staff records the meeting minutes.

Yuin University has twelve permanent administrators:

- 1. Christine Lee CEO
- 2. Andrew Kim, J.D. President
- 3. Amen Rahh, Ph.D Vice President/Provost
- 4. Samuel Y. Ryu, DBA Dean Academic Affairs
- 5. Kyung J. Kim Ph.D., D. Min Dean Student Affairs/ Controller
- 6. Steve Hong, Th.D., D. Min Executive Director of Administration
- 7. Bon Seong Ku, MSOM Dean Department of MSAOM
- 8. Huachang Su, Ph.D. Director Chinese Student
- 9. Tu Truong, Ph.D., D. Min Director Vietnamese Students
- 10. Huang Van Truong, Ph.D. Director of Library
- 11. Deborah T. Kieu, Ph.D Registrar
- 12. Monica Jeong Director of Admission

Additionally, Yuin University has 9 instructional faculties for its School of Acupuncture and Oriental Medicine.

ORGANIZATION CHART OF YUIN UNIVERSITY



PART III: RESOURCES

TEACHING FACILITIES

Yuin University have four classrooms located at their school. The first classroom can seat up to 60. The second, third and fourth classroom can seat up to 25 students. The classrooms are equipped with various learning materials such as a full skeleton, models of human body, television, and projectors.

LIBRARY

Yuin University has a small library, approximately 581 square feet, located in their facility. The library is open from 9:00AM – 8:00PM. The library has a total of 11,934 volumes – 10,482 books in English, 507 books in Chinese, and 945 books in Korean. Additionally, the University has a director of library, who is a Ph.D. that can help students with research when needed.

FINANCES

Yuin University operates as a corporation. The major expenditures for Yuin University are: 1) Commissions/Faculty 2) Salaries & Faculty 3) Building & Equipment 4) Admin, Legal & Professional and 5) Donation, Misc, & Research. The school's major incomes are: 1) Tuition 2) Application Fees and 3) Clinics. In 2009, Yuin University had a surplus of \$28,360. In 2010, the University had a surplus of \$22,592. In 2011, Yuin University had a surplus of \$21,480.

Fiscal Year	2011	2010	2009
Expenditures			
Commissions/Faculty	\$163,137	\$119,033	\$175,828
Salaries & Faculty	\$65,367	\$87,122	\$85,441
Building & Equipment	\$26,144	\$26,826	\$26,633
Admin, Legal & Professional	\$49,530	\$42,041	\$40,844
Donation, Misc, & Research	\$23,671	\$9,824	\$4,304
Total	\$327,849	\$284,851	\$333,050
Income			
Tuition	\$346,090	\$300,023	\$344,705
Application Fees	\$5,620	\$2,800	\$5,720
Clinic	\$3,220	\$4,620	\$4,105
Total	\$355,709	\$307,443	\$354,530
Net Surplus/ Deficit	\$21,480	\$22,592	\$28,360

Summary of Yuin University's Expenditures and Revenue

WILLBODY PROGRAM

Yuin University plans to contract with the "Willbody" Program to allow the school to have access to their cadavers and cadaver's labs to augment the Yuin University's Anatomy course. The head of the program is a faculty at Yuin University and University of California, Irvine.

PART IV: YUIN UNVERSITY MASTER OF SCIENCE IN ACUPUNCTURE & ORIENTAL MEDICINE CURRICULUM NON-COMPLIANCE

Yuin University is applying for California Acupuncture Board Training Program approval for its Master of Science in Acupuncture & Oriental Medicine program. Yuin University operates class year-round on quarter system. The program is a 48 months program with a total of 3,100 hours.

<u>Finding #1</u>: The general psychology requirement is not met due to the lack of instruction in counseling skills.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434 (a)(4):

"General Psychology, including counseling skills."

Yuin University's Curriculum

Yuin University listed that the course, GS 240 General Psychology, satisfy CCR Section 1399.434(a)(4), but the course lack instruction in counseling skills.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, GS 240 General Psychology, to include instruction in counseling skills (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(a)(4).

<u>Finding #2:</u> The physiology requirement is not met due to the lack of instruction of neurophysiology, endocrinology, and neurochemistry.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(a)(6):

"Physiology – a survey of basic physiology, including neurophysiology, endocrinology, and neurochemistry."

Yuin University's Curriculum

Yuin University stated the courses listed below satisfy CCR Section 1399.434(a)(6), but the courses lack instruction of neurophysiology, endocrinology, and neurochemistry.

- 1. GS 323 Human Anatomy and Physiology III
- 2. GS 324 Human Anatomy and Physiology IV

Training Program Corrective Action Taken

Changes were made to the competences covered in the course, GS 324 Human Anatomy and Physiology IV, to include neurophysiology, endocrinology, and neurochemistry (See Yuin University's Corrective Action Report).

This action brings Yuin University into compliance with CCR Section 1399.434(a)(6).

<u>Finding #3:</u> The pathology and pathophysiology requirement is not met due to the lack of instruction of microbiology, psychopathology, and epidemiology.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(a)(7):

"Pathology and Pathophysiology – a survey of the nature of disease and illness, including microbiology, immunology, psychopathology, and epidemiology."

Yuin University's Curriculum

Yuin University listed the following courses to satisfy CCR Section 1399.434(a)(7):

- 1. GS 411 Western Pathology I
- 2. GS 412 Western Pathology II
- 3. GS 413 Western Pathology III

None of the courses listed above include instruction of microbiology, psychopathology, and epidemiology.

Training Program Corrective Action Taken

Changes were made to the competences covered in the courses: 1) GS 411 Western Pathology I 2) GS 412 Western Pathology II and 3) GS 413 Western Pathology III, to include microbiology, psychopathology, and epidemiology (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(a)(7).

<u>Finding #4:</u> The Acupuncture and Oriental Medicine Specialties requirement is not met due to the lack of instruction in dermatology, ophthalmology, internal medicine, geriatrics, family medicine, traumatology, and emergency care.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(b)(1)(F):

"Acupuncture and Oriental Medicine Specialties, including dermatology, gynecology, pediatrics, ophthalmology, internal medicine, geriatrics, family medicine, traumatology, and emergency care."

Yuin University's Curriculum

Yuin University stated the following courses satisfy CCR Section 1399.434(b)(1)(F):

- 1. OM 521 Oriental Internal Medicine I
- 2. OM 522 Oriental Internal Medicine II
- 3. OM 523 Oriental Internal Medicine III
- 4. OM 524 Oriental Internal Medicine IV

These courses listed above lack instruction in dermatology, ophthalmology, orthopedics, internal medicine, geriatrics, family medicine, traumatology, and emergency care as required by section 1399.434(b)(1)(F). However, the topic, geriatrics, is covered in another course but is not listed in the curriculum requirement form.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the courses: 1) OM 521 Oriental Internal Medicine I 2) OM 522 Oriental Internal Medicine II 3) OM 523 Oriental Internal Medicine III and 4) OM 524 Oriental Internal Medicine IV to include dermatology, ophthalmology, orthopedics, internal medicine, geriatrics, family medicine, traumatology, and emergency care (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(b)(1)(F).

<u>Finding #5:</u> The acupuncture techniques and treatment procedures requirement is not met due to the lack of instruction in electroacupuncture.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(b)(2)(B):

"Acupuncture techniques and treatment procedures, including electroacupuncture."

Yuin University's Curriculum

Yuin University stated that the course, AC 511 Acupuncture Techniques I, satisfy CCR Section 1399.434(b)(2)(B) but it lacks instruction in electroacupuncture. However, electroacupuncture is covered in another course but is not correctly listed in the curriculum requirement form.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, AC 512 Acupuncture Techniques II, to include electroacupuncture (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(b)(2)(B).

<u>Finding #6:</u> The Oriental and Western clinical and medical nutrition requirement is not met due to the lack of instruction in counseling.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434 (b)(2)(F):

"Oriental and Western clinical and medical nutrition, dietary and supplement prescription and counseling."

Yuin University's Curriculum

Yuin University courses: 1) HB 410 Eastern Nutrition and 2) GS 320 Western Nutrition lack instruction in counseling as required by CCR Section 1399.434(b)(2)(F). However, counseling is covered in another course but is incorrectly listed in the curriculum requirement form.

Training Program Corrective Action Report

Changes were made to the competencies covered in the course, GS 330 Western Nutrition to include counseling (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(b)(2)(F).

<u>Finding #7:</u> The lifestyle counseling, and self-care recommendations requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(b)(2)(H):

"Lifestyle counseling, and self-care recommendations."

Yuin University's Curriculum

Yuin University listed the following courses to satisfy CCR Section 1399.434(b)(2)(H):

- 1. OM 410 Oriental Medical Diagnosis
- 2. OM 420 Zang Fu Syndromes
- 3. AC 521 Acupuncture Therapeutics I
- 4. AC 522 Acupuncture Therapeutics II

None of the courses listed above have instruction in lifestyle counseling, and selfcare recommendations.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the courses: 1) OM 410 Oriental Medical Diagnosis 2) OM 420 Zang Fu Syndromes 3) AC 521 Acupuncture Therapeutics I 4) AC 522 Acupuncture Therapeutics II to include lifestyle counseling and self-care recommendations (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(b)(2)(H).

<u>Finding #8:</u> The adjunctive acupuncture procedure requirement is not met due to the lack of instruction in gua sha.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(b)(2)(I):

"Adjunctive acupuncture procedures, including bleeding, cupping, gua sha, and dermal tacks."

Yuin University's Curriculum

Yuin University'

Yuin University's course, AC 512 Acupuncture Techniques II, lack instruction in gua sha as required by CCR Section 1399.434(b)(2)(I).

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, AC 512 Acupuncture Techniques II, to include gua sha (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1396.434(b)(2)(I).

<u>Finding #9:</u> The adjunctive acupoint stimulation devices requirement is not met due to lack of instruction in magnets and beads.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(b)(2)(M):

"Adjunctive acupoint stimulation devices, including magnets and beads.

Yuin University's Curriculum

Yuin University stated that the course, AC 512 Acupuncture Techniques II, satisfy CCR Section 1399.434(b)(2)(M). However, the course lacks instruction in magnets and beads.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, AC 512 Acupuncture Techniques II, to include magnets and beads (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(b)(2)(M).

<u>Finding #10:</u> The standard physical examination and assessment requirement is not met due to the lack of instruction in neurological, ear, nose, and functional assessments.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(c)(2):

"Standard physical examination and assessment, including neuromusculoskeletal, orthopedic, neurological, abdominal, and ear, nose, and throat examinations, and functional assessments."

Yuin University's Curriculum

Yuin University stated that the following courses satisfy CCR Section 1399.434(c)(2):

- 1. WS 411 Western Physical Exam
- 2. WS 511 Western Clinical Medicine I
- 3. WS 512 Western Clinical Medicine II
- 4. WS 513 Western Clinical Medicine III

However, these courses do not have instruction in neurological, ear, nose, and functional assessments.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the courses: 1) WS 411 Western Physical Exam 2) WS 511 Western Clinical Medicine I 3) WS 513 Western Clinical Medicine III to include neurological, ear, nose and functional assessments (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 13989.434(c)(2).

<u>Finding #11:</u> The pharmacological assessment, emphasizing side-effects and herb-drug interactions requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(c)(3):

"Pharmacological assessment, emphasizing side-effects and herb-drug interactions."

Yuin University's Curriculum

Yuin University's course, WS 410 Western Pharmacology, lack instruction of pharmacological assessment, emphasizing side-effects and herb-drugs interactions.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WS 410 Western Pharmacology, to include pharmacological assessment, emphasizing side-effects and herb-drugs interactions (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(c)(3).

<u>Finding #12:</u> The patient/practitioner rapport, communication skills, including multicultural sensitivity requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(c)(4):

"Patient/practitioner rapport, communication skills, including multicultural sensitivity."

Yuin University's Curriculum

Yuin University's listed the course, CL 500 Clinical Orientation to satisfy CCR Section 1399.434(c)(4), but the course lack instruction in patient/practitioner rapport, communication skills, including multicultural sensitivity.

Training Program Corrective Action Report

Changes were made to the competencies covered in the course, CL 500 Clinical Orientation, to include patient/practitioner rapport, communication skills, including multicultural sensitivity (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(c)(4).

<u>Finding #13:</u> The clinical reasoning and problem solving requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(c)(6):

"Clinical reasoning and problem solving."

Yuin University's Curriculum

The course, CL 500 Clinical Orientation, lack instruction in clinical reasoning and problem solving as required by CCR Section 1399.434(c)(6).

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WS 411 Western Physical Exam, to include clinical reasoning and problem solving (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(c)(6).

<u>Finding #14:</u> The clinical impressions and the formation of a working diagnosis requirement is not met.

California Acupuncture Board Training Program

CCR Section 1399.434(c)(7):

"Clinical impressions and the formation of a working diagnosis, including acupuncture and oriental medicine diagnoses and the World Health Organization's international classification of disease (ICD-9)."

Yuin University's Curriculum

Yuin University's listed the courses below to satisfy CCR Section 1399.434(c)(7):

- 1. WS 411 Western Physical Exam
- 2. OM 411 Oriental Medicine Diagnosis I
- 3. OM 412 Oriental Medicine Diagnosis II

All three courses lack instruction in clinical impressions and the formation of a working diagnosis, including acupuncture and Oriental medicine diagnoses and the World Health Organization's international classification of diseases (ICD-9).

Training Program Corrective Action Taken

Changes were made to the competencies covered in the courses: 1) WS 533 Case Management III and 2) CL 610 Internship Level I to include clinical impression and the formation of a working diagnosis, including acupuncture and Oriental medicine diagnoses and the World Health Organization's international classification of disease (ICD-9) (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(c)(7).

<u>Finding #15:</u> The awareness of at-risk population, including gender, age, indigent, and disease specific patients requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(c)(8):

"Awareness of at-risk population, including gender, age, indigent, and disease specific patients."

Yuin University's Curriculum

Yuin University stated that the course, WS 411 Western Physical Exam, satisfy CCR Section 1399.434(c)(8), but the course lack instruction in awareness of atrisk population, including gender, age, indigent, and disease specific patients.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the courses: 1) WS 531 Case Management I and 2) CL 500 Clinical Orientation to include instruction in awareness of at-risk population, including gender, age, indigent, and disease specific patients (See Yuin University's Corrective Action Plan).

This action brings Yuin University in compliance with CCR Section 1399.434(c)(8).

<u>Finding #16:</u> The clinical sciences requirement is not met due to the lack of review of internal medicine, pharmacology, surgery, urology, radiology, nutrition, and public health.

CCR Section 1399.434(c)(10):

"Clinical sciences – a review of internal medicine, pharmacology, neurology, surgery, obstetrics/gynecology, urology, radiology, nutrition and public health."

Yuin University's Curriculum

Yuin University listed the following courses to satisfy CCR Section 1399.434(c)(10):

- 1. WS 511 Western Clinical Medicine I
- 2. WS 512 Western Clinical Medicine II
- 3. WS 513 Western Clinical Medicine III

The courses listed above do not include a review of internal medicine, pharmacology, surgery, urology, radiology, nutrition, and public health.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the courses: 1) WS 511 Western Clinical Medicine I 2) WS 512 Western Clinical Medicine II and 3) WS 513 Western Clinical Medicine III to include a review of internal medicine, pharmacology, surgery, urology, radiology, nutrition, and public health (See Yuin University's Corrective Action Plan).

This action brings Yuin University in compliance with CCR Section 1399.434(c)(10).

Finding #17: The clinical medicine requirement is not met.

CCR Section 1399.434(c)(11):

"Clinical medicine – a survey of the clinical practice of medicine, osteopathy, dentistry, psychology, nursing, chiropractic, naturopathy, and homeopathy to familiarize practitioners with the practices of other health care practitioners."

Yuin University's Curriculum

The courses listed below do not have a survey of the clinical practice of medicine, osteopathy, dentistry, psychology, nursing, chiropractic, podiatry, naturopathy, and homeopathy as required by CCR Section 1399.434(c)(11).

- 1. WS 430 Survey of Clinical Medicine
- 2. WS 511 Western Clinical Medicine I
- 3. WS 512 Western Clinical Medicine II
- 4. WS 513 Western Clinical Medicine III

Training Program Corrective Action Taken

Changes were made to the competencies in the courses: 1) WS 430 Survey of Clinical Medicine and 2) WS 513 Western Clinical Medicine III to include a survey of the clinical practice of medicine, osteopathy, dentistry, psychology, nursing, chiropractic, podiatry, naturopathy, and homeopathy (See Yuin University's Corrective Action Plan).

This action brings Yuin University in compliance with CCR Section 1399.434(c)(11).

<u>Finding #18:</u> The coding procedures for current procedural codes requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(d)(9):

"Coding procedures for current procedural codes, including CPT and ICD-9 diagnoses."

Yuin University's Curriculum

Yuin University's courses: 1) WS 533 Case Management III and 2) WS 520 Practice Management does not satisfy CCR Section 1399.434(d)(9) because the courses lack instruction in coding procedures for current procedures codes, including CPT and ICD-9 diagnoses.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WS 533 Case Management III, to include coding procedures for current procedures codes, including CPT and ICD-9 diagnoses (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(d)(9).

Finding #19: The business written communications requirement is not met.

Acupuncture Board Training Program Requirement

CCR Section 1399.434(e)(2):

"Business written communications."

Yuin University's Curriculum

Yuin University's course, WS 520 Practice Management, does not include business written communications and therefore does not satisfy CCR Section 1399.434(e)(2).

Training Program Corrective Action Taken

Changes were made to the competencies covered in the courses: 1) WS 520 Practice Management and 2) WS 531 Case Management I, to include business written communications (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(e)(2).

<u>Finding #20:</u> The knowledge of regulatory compliance and jurisprudence requirement is not met due to lack of instruction in labor code, Health Insurance Portability and Accountability Act of 1996 (HIPAA).

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(e)(3):

"Knowledge of regulatory compliance and jurisprudence (municipal, California, and federal laws, including OSHA, Labor Code, Health Insurance Portability and Accountability Act of 1966 (HIPAA)."

Yuin University's Curriculum

Yuin University stated that courses: 1) WS 420 Medical Ethics and 2) WS 310 Equipment & Safety satisfy CCR Section 1399.434(e)(3), but the courses lack instruction in Labor Code and Health Insurance Portability and Accountability Act of 1966(HIPAA).

Training Program Corrective Action Taken

Changes were made to the competencies covered in the courses: 1) WS 531 Case Management I 2) WS 533 Case Management III and 3) WS 520 Practice Management, to include Labor Code and Health Insurance Portability and Accountability Action of 1966 (HIPAA) (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(e)(3).

<u>Finding #21:</u> The planning and establishing a professional office requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(e)(6):

"Practice growth and development."

Yuin University's Curriculum

The course, WS 520 Practice Management, lack instruction in practice growth and development as required by Section 1399.434(e)(6).

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WS 520 Practice Management, to include practice growth and development (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(e)(6).

<u>Finding #22:</u> The ability to practice interdisciplinary medical settings including hospitals requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(e)(7):

"Ability to practice interdisciplinary medical settings including hospitals."

Yuin University's Curriculum

The course, WS 520 Practice Management, lack instruction in ability to practice interdisciplinary medical settings including hospitals as required by CCR Section 1399.434(e)(7).

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WS 520 Practice Management, to include ability to practice interdisciplinary medical settings, including hospitals.

The action brings Yuin University in compliance with CCR Section 1399.434(e)(7).

<u>Finding #23:</u> The risk management and insurance issue requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(e)(8):

"Risk management and insurance issues."

Yuin University's Curriculum

The course, WS 520 Practice Management, does not satisfy CCR Section 1399.434(e)(8) because it lacks instruction in risk management and insurance issues.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WS 520 Practice Management, to include risk management and insurance issues.

This action brings Yuin University in compliance with CCR Section 1399.434(e)(8).

Finding #24: The ethics and peer review requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(e)(9):

"Ethics and peer review."

Yuin University's Curriculum

The course, WS 420 Medical Ethics, do not include ethics and peer review as required by CCR Section 1399.434(e)(9).

Training Program Corrective Action Taken

Changes were made to the competencies covered in the courses: 1) WS 420 Medical Ethics 2) WS 520 Practice Management 3) WS 531 Case Management I and 4) WS 532 Case Management II to include ethics and peer review (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(e)(9).

Finding #25: The treatment of chemical dependency requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(f)(4):

"Treatment of chemical dependency."

Yuin University's Curriculum

Yuin University's course, WS 340 Public Health, does not satisfy CCR Section 1399.434(f)(4) because it lacks instruction in treatment of chemical dependency.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WS 513 Western Clinical Medicine III, to include treatment of chemical dependency (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 139.434(f)(4).

<u>Finding #26:</u> The communicable disease, public health alerts, and epidemiology requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(f)(5):

"Communicable disease, public health alerts, and epidemiology."

Yuin University's Curriculum

Yuin University's course, WS 340 Public Health, lack instruction in communicable disease, public health alerts, and epidemiology as required by CCR Section 1399.434(f)(5).

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WS 340 Public Health, to include communicable disease, public health alerts, and epidemiology (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(f)(5).

<u>Finding #27:</u> The research and evidence based medicine requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(g)(1):

"Research and evidence based medicine."

Yuin University's Curriculum

The course, WS 330 Research Methodology, lack instruction in research and evidence based medicine and thus do not satisfy CCR Section 1399.434(g)(1).

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WS 330 Research Methodology, to include research and evidence based medicine (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(g)(1).

Finding #28: The knowledge of academic peer review process requirement is not met.

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(g)(2):

"Knowledge of academic peer review process."

Yuin University's Curriculum

The course, WS 330 Research Methodology does not satisfy CCR Section 1399.434(g)(2) because it lacks instruction in knowledge of academic peer review process.

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WS 330 Research Methodology, to include knowledge of academic peer review process (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(g)(2).

Finding #29: The knowledge of critique of research method requirement is not met.

California Acupuncture Board Training Program

CCR Section 1399.434(g)(3):

"Knowledge of critique of research methods."

Yuin University's Curriculum

The course, WS 330 Research Methodology, lacks instruction in knowledge of critique of research methods, and therefore does not satisfy CCR Section 1399.434(g)(3).

Training Program Corrective Action Taken

Changes were made to the competencies covered in the course, WS 330 Research Methodology, to include knowledge of critique of research methods (See Yuin University's Corrective Action Report).

This action brings Yuin University in compliance with CCR Section 1399.434(g)(3).

Summary of Yuin University's Master of Acupuncture and Oriental Medicine Training Program Non-Compliances

CCR Section 1399.434 Curriculum Requirement	Yuin University Curriculum	Unsatisfied Requirement
1. (a)(4) General Psychology, including counseling skills	GS 240 General Psychology	Counseling skills
Trainin Changes were made to the comperinclude instruction in counseling sk		240 General Psychology, to
 This action brings Yuin Universit 2. (a)(6) Physiology – a survey of basic physiology, including neurophysiology, endocrinology, and neurochemistry 	ty in compliance with CCR Sect GS 323 Human Anatomy and Physiology III GS 324 Human Anatomy and Physiology IV	ion 1399.434(a)(4). Neurophysiology, endocrinology, and neurochemistry
Changes were made to the compe Physiology IV, to include neurophy University's Corrective Action Rep	vsiology, endocrinology, and neuro ort).	324 Human Anatomy and ochemistry (See Yuin
 This action brings Yuin Universit 3. (a)(7) Pathology and Pathophysiology – a survey of the nature of disease and illness, including microbiology, immunology, and psychopathology, and epidemiology 	GS 411 Western Pathology I GS 412 Western Pathology II GS 413 Western Pathology III	Microbiology, psychopathology, and epidemiology
Trainin Changes were made to the compe GS 412 Western Pathology II and psychopathology, and epidemiolog	3) GS 413 Western Pathology III t	GS 411 Western Pathology I 2) o include microbiology,
This action brings Yuin Universi		
4. (b)(1)(F) Acupuncture and Oriental Medicine Specialties, including dermatology, gynecology, pediatrics, ophthalmology, orthopedics, internal medicine, geriatrics, family medicine, traumatology, and emergency care	OM 521 Oriental Internal Medicine I OM 522 Oriental Internal Medicine II OM 523 Oriental Internal Medicine III OM 524 Oriental Internal Medicine IV	Dermatology, ophthalmology, orthopedics, internal medicine, geriatrics, family medicine, traumatology, and emergency care

Training Program Corrective Action TakenChanges were made to the competencies covered in the courses: 1) OM 521 Oriental InternalMedicine I 2) OM 522 Oriental Internal Medicine II 3) OM 523 Oriental Internal Medicine III and 4)OM 524 Oriental Internal Medicine IV, to include dermatology, ophthalmology, internal medicine,geriatrics, family medicine, traumatology, and emergency care (See Yuin University's CorrectiveAction Report).This action brings Yuin University in compliance with CCR Section 1399.434(b)(1)(F).		
5. (b)(2)(B) Acupuncture techniques and treatment procedures, including electroacupuncture	AC 511 Acupuncture Techniques I	Electroacupuncture
Training Program Corrective Action TakenChanges were made to the competencies covered in the course, AC 512 Acupuncture TechniquesII, to include electroacupuncture (See Yuin University's Corrective Action Report).This action brings Yuin University in compliance with CCR Section 1399.434(b)(2)(B).		
 (b)(2)(F) Oriental and Western clinical and medical nutrition, dietary and supplement prescription and counseling 	HB 410 Eastern Nutrition GS 320 Western Nutrition	Counseling
Training Program Corrective Action Report Changes were made to the competenci es covered in the course, GS 330 Western Nutrition to include counseling (See Yuin University's Corrective Action Report). This action brings Yuin University in compliance with CCR Section 1399.434(b)(2)(F).		
7. (b)(2)(H) Lifestyle counseling, and self-care recommendations	OM 410 Oriental Medical Diagnosis OM 420 Zang Fu Syndromes AC 521 Acupuncture Therapeutics I AC 522 Acupuncture Therapeutics II	Lifestyle counseling and self- care recommendations
Trainin Changes were made to the compe Diagnosis 2) OM 420 Zang Fu Syn Acupuncture Therapeutics II to inc Yuin University's Corrective Action This action brings Yuin Universit	ndromes 3) AC 521 Acupuncture T lude lifestyle counseling and self-c Report).	OM 410 Oriental Medical Therapeutics I 4) AC 522 care recommendations (See

8. (b)(2)(I) Adjunctive acupuncture procedures, including bleeding, cupping gua sha, and dermal tacks	AC 512 Acupuncture Techniques	Gua sha
Trainin Changes were made to the compe II, to include gua sha (See Yuin Ur		512 Acupuncture Techniques
This action brings Yuin University	ity in compliance with CCR Sect	ion 1396.434(b)(2)(l).
 (b)(2)(M) Adjunctive acupoint stimulation devices, including magnets and beads 	AC 512 Acupuncture Techniques II	Magnets and beads
Trainii	ng Program Corrective Action Ta	aken
Changes were made to the competition of the competi		
This action brings Yuin Universi	ity in compliance with CCR Sect	ion 1399.434(b)(2)(M).
10. (c)(2) Standard physical examination and assessment, including neuromusculoskeletal, orthopedic, neurological, abdominal, and ear, nose, and throat examination, and function assessment	WS 411 Western Physical Exam WS 511 Western Clinical Medicine I WS 512 Western Clinical Medicine I WS 512 Western Clinical Medicine III	Neurological, ear, nose, and functional assessments
	ng Program Corrective Action Ta	aken
Changes were made to the competencies covered in the courses: 1) WS 411 Western Physical Exam 2) WS 511 Western Clinical Medicine I 3) WS 513 Western Clinical Medicine III to include neurological, ear, nose and functional assessments (See Yuin University's Corrective Action Report).		
This action brings Yuin University		
11. (c)(3) Pharmacological assessment, emphasizing side-effects and herb-drugs interactions	WS 410 Western Pharmacology	Pharmacological assessment, emphasizing side-effects and herb-drugs interactions
Trainin Changes were made to the compe- include pharmacological assessme Yuin University's Corrective Action	ent, emphasizing side-effects and	S 410 Western Pharmacology, to
This action brings Yuin University	ity in compliance with CCR Sect	ion 1399.434(c)(3).

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and 2) CL 500 Clinical Orientation to include instruction in awareness of at-risk population, including			
This action brings Yuin University in compliance with CCR Section 1399.434(c)(8).	This action brings Yuin University in compliance with CCR Section 1399.434(c)(8).		

 16. (c)(10) Clinical sciences – a review of internal medicine, pharmacology, neurology, surgery, obstetrics/gynecology, urology, radiology, nutrition, and public health 	WS 511 Western Clinical Medicine I WS 512 Western Clinical Medicine II WS 513 Western Clinical Medicine III	Internal medicine, pharmacology, surgery, urology, radiology, nutrition, and public health
Trainin Changes were made to the compe Medicine I 2) WS 512 Western Clin include a review of internal medicin public health (See Yuin University' This action brings Yuin University	nical Medicine II and 3) WS 513 Ŵ ne, pharmacology, surgery, urolog s Corrective Action Plan).	WS 511 Western Clinical /estern Clinical Medicine III to y, radiology, nutrition, and
17. (c)(11) Clinical medicine – a survey of the clinical practice of medicine, osteopathy, dentistry, psychology, nursing, chiropractic, podiatry, naturopathy, and homeopathy to familiarize practitioners, with the practices of other health care practitioners.	WS 430 Survey of Clinical Medicine WS 511 Western Clinical Medicine I WS 512 Western Clinical Medicine II WS 513 Western Clinical Medicine III	Clinical medicine – a survey of the clinical practice of medicine, osteopathy, dentistry, psychology, nursing, chiropractic, podiatry, naturopathy, and homeopathy to familiarize practitioners, with the practices of other health care practitioners.
Training Program Corrective Action TakenChanges were made to the competencies in the courses: 1) WS 430 Survey of Clinical Medicine and2) WS 513 Western Clinical Medicine III to include a survey of the clinical practice of medicine, osteopathy, dentistry, psychology, nursing, chiropractic, podiatry, naturopathy, and homeopathy (See Yuin University's Corrective Action Plan).This action brings Yuin University in compliance with CCR Section 1399.434(c)(11).		
18. (d)(g) Coding procedures for current procedural codes, including CPT and ICD-9 diagnoses	WS 533 Case Management III WS 520 Practice Management	Coding procedures for current procedural codes, including CPT and ICD-9 diagnoses
Training Program Corrective Action Taken Changes were made to the competencies covered in the course, WS 533 Case Management III, to include coding procedures for current procedures codes, including CPT and ICD-9 diagnoses (See Yuin University's Corrective Action Report).		
This action brings Yuin Universi	ty in compliance with CCR Sect	ion 1399.434(d)(9).

WS 520 Practice Management	Business written communications	
etencies covered in the courses: 1) t I, to include business written com	WS 520 Practice Management nmunications (See Yuin	
WS 420 Medical Ethics WS 310 Equipment & Safety	Labor Code, HIPAA	
Training Program Corrective Action TakenChanges were made to the competencies covered in the courses: 1) WS 531 Case Management I2) WS 533 Case Management III and 3) WS 520 Practice Management, to include Labor Code andHealth Insurance Portability and Accountability Action of 1966 (HIPAA) (See Yuin University's Corrective Action Report).This action brings Yuin University in compliance with CCR Section 1399.434(e)(3).		
WS 520 Practice Management	Practice growth and development	
ng Program Corrective Action T	aken	
Changes were made to the competencies covered in the course, WS 520 Practice Management, to include practice growth and development (See Yuin University's Corrective Action Report). This action brings Yuin University in compliance with CCR Section 1399.434(e)(6).		
WS 520 Practice Management	Ability to practice interdisciplinary medical settings including hospitals	
Training Program Corrective Action Taken Changes were made to the competencies covered in the course, WS 520 Practice Management, to include ability to practice interdisciplinary medical settings, including hospitals. The action brings Yuin University in compliance with CCR Section 1399.434(e)(7).		
	Berogram Corrective Action Tetencies covered in the courses: 1) t I, to include business written comort). ity in compliance with CCR Sect WS 420 Medical Ethics WS 310 Equipment & Safety Safety Defencies covered in the courses: 1) and 3) WS 520 Practice Management countability Action of 1966 (HIPA ity in compliance with CCR Sect WS 520 Practice Management MS 520 Practice Management Defencies covered in the course, WS poment (See Yuin University's Corrective Action Tetencies covered in the course, WS poment (See Yuin University's Corrective Management WS 520 Practice Management MS 520 Practice Management	

23. (e)(8) Risk management and insurance issue	WS 520 Practice Management	Risk management and insurance issue
Trainin Changes were made to the compe include risk management and insu		
This action brings Yuin Universi	ity in compliance with CCR Sect	ion 1399.434(e)(8).
24. (e)(9) Ethics and peer review	WS 420 Medical Ethics	Ethics and peer review
Training Program Corrective Action TakenChanges were made to the competencies covered in the courses: 1) WS 420 Medical Ethics 2) WS520 Practice Management 3) WS 531 Case Management I and 4) WS 532 Case Management II toinclude ethics and peer review (See Yuin University's Corrective Action Report).This action brings Yuin University in compliance with CCR Section 1399.434(e)(9).		
25.(f)(4) Treatment of chemical dependency	WS 340 Public Health	Treatment of chemical dependency
This action brings Yuin University in compliance with CCR Section 139.434(f)(4). 26. (f)(5) Communicable disease, WS 340 Public Health Communicable disease, public		
public health alerts, and epidemiology		health alerts, and epidemiology
Training Program Corrective Action Taken Changes were made to the competencies covered in the course, WS 340 Public Health, to include communicable disease, public health alerts, and epidemiology (See Yuin University's Corrective Action Report). This action brings Yuin University in compliance with CCR Section 1399.434(f)(5).		
27. (g)(1) Research and evidence based medicine	WS 330 Research Methodology	Research and evidence based medicine
Training Program Corrective Action Taken Changes were made to the competencies covered in the course, WS 330 Research Methodology, to include research and evidence based medicine (See Yuin University's Corrective Action Report). This action brings Yuin University in compliance with CCR Section 1399.434(g)(1).		

28.(g)(2) Knowledge of academic	WS 330 Research	Knowledge of academic peer
peer review process	Methodology	review process
Trainir	ng Program Corrective Action Ta	aken
Changes were made to the compe	tencies covered in the course, WS	330 Research Methodology, to
include knowledge of academic pe	er review process (See Yuin University	ersity's Corrective Action
Report).		-
This action brings Yuin University in compliance with CCR Section 1399.434(g)(2).		
This action brings Yuin Universi	ty in compliance with CCR Sect	ion 1399.434(g)(2).
This action brings Yuin Universi 29. (g)(3) Knowledge of critique	ty in compliance with CCR Sect WS 330 Research	ion 1399.434(g)(2). Knowledge of critique of
•	• •	
29. (g)(3) Knowledge of critique of research methods	WS 330 Research	Knowledge of critique of research methods
29. (g)(3) Knowledge of critique of research methods	WS 330 Research Methodology og Program Corrective Action Ta	Knowledge of critique of research methods
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29. (g)(3) Knowledge of critique of research methods Trainin Changes were made to the compe	WS 330 Research Methodology ng Program Corrective Action Ta tencies covered in the course, WS	Knowledge of critique of research methods aken S 330 Research Methodology, to
29. (g)(3) Knowledge of critique of research methods Trainin Changes were made to the compe	WS 330 Research Methodology ng Program Corrective Action Ta tencies covered in the course, WS search methods (See Yuin Univers	Knowledge of critique of research methods aken S 330 Research Methodology, to sity's Corrective Action Report).

PART V: YUIN UNIVERSITY'S MASTER OF SCIENCE IN ACUPUNCTURE AND ORIENTAL MEDICINE CLINICAL NON-COMPLIANCE

Yuin University submitted a training program application with a school clinic. Since Yuin University has not received training program approval from the Board, the school has not started any students in the clinical internship. However, the site visit team evaluated the clinic for compliance to ensure the curriculum requirements are reflected in the clinical training pursuant to CCR Section 1399.434.

<u>Finding #1</u>: Yuin University's clinical practicum for intern training did not demonstrate sufficient application of Eastern and Western diagnostic procedures in evaluating patients.

California Acupuncture Board Training Program Clinical Requirement

CCR Section 1399.434(h)(2):

"Diagnosis and evaluation (minimum 275 hours) – the application of Eastern and Western diagnostic procedures in evaluating patients."

Yuin University's Clinic

- 1. Proposed Herbs/Formulas not listed in the charts. For teaching purposes, herbs/formulas should be discussed.
- 2. Although the inspected patient chart had a section CPT and ICD-9, it was not filled in.

- 3. Though the Patient Chart in the Clinic Handbook Manual has a section for Supervisor and Intern signatures, the inspected patient chart did not.
- 4. In the progress notes, Worker's Compensation Pain Scale is not used. For worker's compensation, there must be four levels of severity and duration of pain. The severity level is missing.
- 5. Patient's records were not accurate and complete:
 - a. Patient's file did not have page number.
 - b. Patient's file did not have file number.
- 6. Orthopedic exam was not performed for the muscular disorder case observed.
- 7. The Clean Needle Technique was not properly applied:
 - a. Prior to Needling, the area was disinfected with alcohol dipped cotton ball using a back and forth motion, as opposed to a one time swipe (C rubbing circle).
 - b. Hand/fingers touched the needle body during insertion.
- 8. No treatment plan was written on the medical chart.

For the purposes of a training clinic, all of the above should be included in a patient medical chart with Differential Diagnosis/Assessment that the intern learns all aspects of the training program.

Training Program Corrective Action Taken

Yuin University submitted new medical charts to demonstrate corrective action of non-compliances found during the site visit (See Yuin University Corrective Action Report). Upon review of the medical charts, Yuin University clinical practicum for internal training does not demonstrate sufficient application of Eastern and Western diagnostic procedures in evaluating patients evidenced by the following finding:

- The worker's compensation scale is incorrect. Though Yuin University medical charts had duration/frequency and severity, it did not list the correct definitions according to the State of California, Division of Worker's Compensation's Primary Treating Physician's Permanent and Stationary Report (PR-3) (See attached page 3 of the PR-3).
- 2. Although Consultation Sheet has page numbers, it does not state how many pages it follows. For example, the first page of the Consultation Sheet should state 1/4 instead of just 1. Though the Progress Notes and Clinic Observer Sheet are one page, it should be labeled 1/1.

Yuin University is not in compliance with CCR Section 1399.434(h)(2).

Finding #2: Yuin University's direct clinic supervision requirement is not in compliance with CCR Section 1399.434(h)(3).

California Acupuncture Board Training Program Requirement

CCR Section 1399.434(h)(3):

"Supervised practice (minimum 275 hours)--the clinical treatment of patients with acupuncture and oriental medicine treatment modalities listed in the Business and Professions Code section 4927(d) and 4937(b).

During the initial 275 hours of diagnosis, evaluation and clinical practice, the clinic supervisor shall be physically present at all times during the diagnosis and treatment of the patient. Thereafter, for a second period of 275 hours the clinic supervisor shall be physically present at the needling of the patient. The clinic supervisor shall otherwise be in close proximity to the location at which the patient is being treated during the clinical instruction. The student shall also consult with the clinic supervisor before and after each treatment."

Yuin University's Clinic

1. In Yuin University's clinic handbook, the supervisor rule 2(c) states:

"Supervisor checks on the intern's treatment, but need not to be present the whole time"

In accordance with CCR Section 1399.434(h)(3), a supervisor must be physically present at all times during diagnosis and treatment of patients for the initial 275 hours of clinical practice. For the second period of 275 hours, a supervisor must be present at needling of the patients.

Training Program Corrective Action Taken

Changes were made to Yuin University's clinic handbook which states "supervisor shall be physically present on the intern's diagnosis and treatment at all times".

This action brings Yuin University in compliance with CCR Section 1399.434(h)(3).

PART VI: PEER REVIEW RECOMMENDATIONS

- 1. The Clinic's Consultant Chart pain scale should be from 0 to 10 instead of 1 to 10.
- 2. The Clinic Observer Sheet should list the 8 Principles and Zang Fu with differential diagnosis and treatment plan for students to circle.
- 3. On the Intern Daily sheet, after the "in and out" sections, there should be a section for hours accumulated. That way, intern's hours can be easily calculated.
- 4. The Herbal Room should have a scale to weigh the herbs and paper to wrap the herbs.
- 5. In the Clinic Safety & CNT Manual, on page 27, the shoulder area such as GB 21 should be added to the following sentence under Pneumothorax:

"An acupuncturist must be ware of the anatomy of the chest and take proper precautions to prevent inserting an acupuncture needle deeply on the chest or back above the diaphragm."

6. In the Clinic Safety & CNT Manual, on page 27, the urinary bladder, large Intestine and small intestine Area to the following sentence under Accidental Injury to other Organs:

"Puncturing the liver of spleen may cause internal bleeding..."

7. For initial patients, height, weight, and blood pressure should be taken.

PART VII: RECOMMENDATION

Site Team Recommendation: Denial

Yuin University's Master Science in Acupuncture and Oriental Medicine does not meet Board's requirements; the site team recommends denial of Yuin University's Master of Science in Acupuncture and Oriental Medicine program to become a California Acupuncture Board training program.

STATE OF CALIFORNIA Division of Workers' Compensation PRIMARY TREATING PHYSICIAN'S PERMANENT AND STATIONARY REPORT (PR-3)

<u>Subjective Findings</u>: Provide your professional assessment of the subjective factors of disability, based on your evaluation of the patient's complaints, your examination, and other findings. List specific symptoms (e.g. pain right wrist) and their frequency, severity, and/or precipitating activity using the following definitions:

Severity:

Minimal pain - an annoyance, causes no handicap in performance. Slight pain - tolerable, causes some handicap in performance of the activity precipitating pain. Moderate pain - tolerable, causes marked handicap in the performance of the activity precipitating pain. Severe pain - precludes performance of the activity precipitating pain.

Frequency: Occasional - occurs roughly one fourth of the time. Intermittent - occurs roughly one half of the time. Frequent - occurs roughly three fourths of the time. Constant - occurs roughly 90 to 100% of time.

Precipitating activity: Description of precipitating activity gives a sense of how often a pain is felt and thus may be used with or without a frequency modifier. If pain is constant during precipitating activity, then no frequency modifier should be used. For example, a finding of "moderate pain on heavy lifting" connotes that moderate pain is felt whenever heavy lifting occurs. In contrast, "intermittent moderate pain on heavy lifting" implies that moderate pain is only felt half the time when engaged in heavy lifting.

Yes	No	Cannot
		determine

Pre-Injury Capacity

Are there any activities at home or at work that the patient cannot do as well now as could be done prior to this injury or illness?

If yes, please describe pre-injury capacity and current capacity (e.g. used to regularly lift a 30 lb. child, now can only lift 10 lbs.; could sit for 2 hours, now can only sit for 15 mins.)

2.

3.

4.

DWC Form PR-3 (Rev. 06-05)

3

CE PROVIDER PROPOSAL



ACUPUNCTURE BOARD 1747 North Market Boulevard, Suite 180, Sacramento, CA 95834 (916) 515-5200 FAX (916) 928-2204 <u>www.acupuncture.ca.gov</u>



DATE	January 23, 2015
то	Education Committee
FROM	Terri Thorfinnson Executive Officer
SUBJECT	Proposed Continuing Education Provider Fee Increase

Issue:

The Continuing Education Provider Fee needs to be raised and be assessed annually. The increase and frequency of the fee would address the concern about the quality of continuing education courses and workload. The provider fee is too low and should be raised and the frequency of the fee changed from biannual to annual to raise the needed revenue to cover workload. The proposal is to increase the CE provider fee to \$500 per year and create types of CE providers with specific financial resources requirements that assure quality instructors can be hired.

Problem:

The fee structure does not assure nor address quality of continuing education course work. There is currently no fee assessed for courses. The only fee assessed for continuing education is a biannual fee for continuing education providers. The high volume of both CE providers and courses indicate that the fee structure allows anyone to be a CE provider with no criteria to address quality of course work. If the fees were increased and criteria added to assure quality of course work, this would solve the quality of education problem. The additional fees are needed to adequately fund staff needed to review applications, course and audits of course and providers.

Background:

In 2006, the Board first created continuing education provider fees and has not increased fees since 2006. The fee was set as a biennial fee of \$150.

Over the past 3 years, there has been a significant increase in the number of continuing education providers applying to the Board to teach courses. In Fiscal Year (FY) 2012/12 there were 74 new providers approved. In FY 2012/13 there were 60 new providers approved. In the first 5 months of FY 2013/14 there were already 85 approved providers. The number of applications for course approvals has continued to increase as well. The total number of continuing education course applications reviewed in FY 2011/12 was 2,071, and in FY 2012/13 were 2,185. The Board receives approximately 150-200 continuing education course applications per month and the Board must approve them within 30 days and post revised course lists on the website bi-monthly for licensees to know what courses meet their continuing education requirement.

In addition to the review and approval of providers and courses, the Board monitors providers and courses. The Board conducts random audits of licensees to confirm that they, in fact, completed the number of continuing education course work that they declared on their license renewal form submitted to the Board. With additional education enforcement staff, the Board could conduct random site visits of courses and providers which would improve the Board's oversight of continuing education. Currently, the education workload for just continuing education exceeds the current staffing levels. This year the Board increased their random audits of licensees for compliance with their continuing education requirements and the staff time to complete the audit caused delays in school oversight and continuing education course approvals.

The prior Board began this discussion about continuing education fees. The Board discussed evaluating whether to charge a fee for each course to cover the staff costs of review and approval. There was discussion that other Boards charge higher and more frequent fees that the Board should consider. Currently, continuing education providers are required to pay \$150 every two years. There is currently no fee charged for courses submitted to the Board for approval. All continuing education courses must be reviewed and approved by the Board. All continuing education courses must be taught by a Board approved continuing education provider. There are specific criteria for providers and for course work currently in the regulations.

1399.480. Definitions

(a) For purposes of this article:

(1) "Provider" means those persons or organizations approved by the board to offer continuing education.

(2)"Course" means a systematic learning experience, at least one hour in length, which deals with and is designed for the acquisition of knowledge, skills and information relevant to the practice of acupuncture.

(3) "Hour" means at least fifty (50) minutes of participation in an organized learning experience.

1399.481 Criteria for Provider Approval.

(a) In order to be a provider, those persons, organizations, schools or other entities seeking approval shall submit to the Board a Continuing Education Provider Application, (Rev.5/08), that is hereby incorporated by reference, accompanied by the fee set forth in Section 1399.462. All provider applications and documentation submitted to the board shall be typewritten and in English.

(b) The approval of the provider shall expire two (2) years after it is issued by the board and may be renewed upon the filing of the required application fee.

1399.485. Instructors.

- 1. (a) It shall be the responsibility of each provider to use qualified instructors.
- 2. (b) Instructors teaching approved continuing education courses shall have the following minimum qualifications:

(1) An acupuncturist instructor, shall

(A) hold a current valid license to practice acupuncture or is otherwise authorized to act as a guest acupuncturist in accordance with section 4949 of the code. A "current valid license" is one that has not been revoked, suspended, placed on probation, voluntarily surrendered or otherwise disciplined by the board, and

(B) be knowledgeable, current and skillful in the subject matter of the course as evidenced through:

- 1. 1. holding a baccalaureate or higher degree from a college or university and written documentation of experience in the subject matter; or
- 2. 2. have at least two years' experience in teaching similar subject matter content within the five years preceding the course; or
- 3. 3. 3. have at least two years' experience within the last five years in the specialized area in which he or she is teaching.
- (2) A non-acupuncturist instructor shall

(A) be currently licensed or certified in his or her area of expertise if appropriate, and

(B) show written evidence of specialized training, that may include, but not be limited to, a certificate of training or an advanced degree in given subject area, and

(C) have at least two years' teaching experience within the last five years in the specialized area in which he or she teaches.

The current continuing education workload of provider and course approval is more than a full time staff can handle. Additionally, the Board needs to monitor both providers and courses to ensure quality and accountability through random audits and unannounced site visits to courses. This would require addition staff to review and monitor continuing education courses and providers. This is a point that the Sunset Review Committee asked the Board to evaluate—whether they had the staff and resources to oversee continuing education. The answer is that additional staff and resources are needed to provide both continuing education oversight and monitoring. The Board currently has only one staff that oversees the approval of CE Providers and CE courses. Based on workload assessments, the Education Enforcement Unit needs 4-5 total staff to adequately perform all education oversight and enforcement. The Board submitted a BCP for one additional education staff and received approval from the Governor in the recently release FY 2014/15 Budget. The Board to submit a BCP for additional education staff this past spring FY 2015/16 to address the unmet workload demands and it was denied.

Discussion:

It has been 8 years since the Board first established a provider fee. With the increased workload for both providers, course approval and monitoring, the Board needs to consider increasing its current fee structure. The committee discussion should include raising the current provider fee, and establishing a monitoring fee and course fees. Having the appropriate fee structure will provide the Board the resources it needs to expand its staffing. The committee should also discuss expanding the current provider criteria to include types of CE providers and other criteria that would ensure quality CE education.

Currently, the Board has regulatory authority to change the CE provider fee and establish a monitoring fee. Such changes would have to be promulgated through regulations. The Board does not have authority to charge a fee for CE courses; that would require a statutory change. Fees that would require a statutory change should be postponed to a later date.

Issues for discussion should include the amount of the CE provider fee, the frequency and the amount of a monitoring fee per provider and some financial criteria that assure quality instructors and courses are offered. As a starting point for discussion, an annual fee of \$500/ year and \$50 monitoring fee would assure quality education and address the Board's workload. The rationale for an annual fee is that all courses are submitted and approved annually, so the fee structure would better support the function which is performed annually not biannually. There should also be discussion about creating provider categories such as school CE providers, professional association CE providers, other CE providers and whether the fees should be assessed differently by CE provider category. These categories should set resource requirements that assure they can provide high quality CE education. Such resource requirements could be a minimum annual revenue of \$50,000. What other requirements would address the quality of CE education?

At a later date, the Committee should discuss setting per course fees which would require legislation. Additionally, the Committee should review the current regulations and determine if there is a need to revise the current policy or criteria for continuing education providers or not? Are the current regulatory requirements for CE adequate?

Recommendation:

Change the fee charged to CE providers to be \$500 per year and establish a \$50 annual monitoring fee per provider. Create CE provider types and financial resources requirements that assure quality instructors and course work is offered.